

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Gary S. Gray, Jerry Carson,  
Kashi Javaherian, Paul D. Rennert and Sandra Silver

*new*  
Serial No.: *Continuation of* 09/227,595

Filed: ~~January 8, 1999~~ *December 20, 2001*

For: CTLA4-Cy4 Fusion Proteins (as amended)

Attorney Docket No.: RPN-001

Group Art Unit: 1642

Examiner: Helms, L.

ATTACH  
TO

# 4

Assistant Commissioner for Patents  
Washington, D.C. 20231

*new*  
*under* *1.10*  
Certificate of First Class Mailing (37 CFR 1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: ~~Assistant Commissioner for Patents,~~ *"Express Mail to Addressee"*  
*Box Patent Application,* Washington, D.C. 20231 on the date set forth below.

*December 20, 2001*  
Date of Signature and of Mail Deposit

By:

*Larry Taylor*  
~~Megan E. Williams~~

Registration No. 43,270

Attorney for Applicants *new*

*Mailing Label No. EL 833315914US*

DECLARATION UNDER 37 C.F.R. 1.131 BY

GARY S. GRAY, JERRY CARSON, KASHI JAVAHERIAN, PAUL D. RENNERT

AND SANDRA SILVER

Sir:

We, Gary S. Gray, Jerry Carson, Kashi Javaherian, Paul D. Rennert And Sandra

Silver declare:

1. We are the inventors of the subject matter described and claimed in the above-referenced patent application.

2. Prior to July 11, 1994, the invention described and claimed in the above-referenced application was completed in this country, as evidenced by the following:

- A. Prior to July 11, 1994, we were in possession of a cloned CTLA4Ig molecule which was producing large amounts of active protein in CHO DG44 cells.

Page A-1 of Appendix A describes amino acid modifications to the Ig portion of the CTLA4Ig molecule. Deletion of the CH<sub>2</sub> domain from  $\gamma$ 1 and mutations to amino acids 235 and 237 in  $\gamma$ 4 are described.

Page A-2 of Appendix A describes amplification of the mutated  $\gamma$ 4 Hinge-CH<sub>2</sub>-CH<sub>3</sub> region and the cloning of the mutated  $\gamma$ 4 into pNRDSH/hCTLA4 to replace the existing  $\gamma$ 1 Hinge-CH<sub>2</sub>-CH<sub>3</sub>.

Page A-3 of Appendix A describes a second method which involves the use of nested PCR to generate a mutated  $\gamma$ 1 (having an L to A substitution at amino acid 234, an L to E substitution at amino acid 235, and a G to A change at amino acid 237) from hCTLA4Ig and the cloning of the mutated  $\gamma$ 1 back into hCTLA4 pNRDSH.

Pages A-5 and A-6 show primers for use in making mutated forms of CTLA4Ig.

The notebook pages submitted as pages A-1 through A-7 of Appendix A demonstrate that we completed the claimed invention in this country prior to July 11, 1994.

- B. In addition, as shown on page A-8 of Appendix A, prior to July 11, 1994, we demonstrated that mutated forms of CTLA4Ig effectively competed with biotinylated CTLA4-Ig for binding to B7.

In the experiment presented at the bottom of the page B7Ig was put onto plates. Biotinylated CTLA4Ig was added to the plates in a fixed amount. Test forms of CTLA4Ig were added in serial dilutions to test for their ability to compete for binding to B7 on the plate. In this type of assay as the amount of an effective competitor is increased, the amount of biotinylated CTLA4Ig that binds decreases. This leads to a decrease in the optical density readout. Unlabelled CTLA4Ig (left row) was used as a positive control.

The data show that samples #2, #3, and #4 (in rows 2, 4, and 5, respectively) effectively competed with unmodified CTLA4Ig for binding to B7. As indicated in the table in the middle of the page, sample 2 was oncoCTLA4-my4; sample 3 was IgLCTLA4- $\gamma$ 1; and sample 4 was IgLCTLA4- $\gamma$ 1.

10027075-122001

These data demonstrate that the modified CTLA4Ig molecules were still able to bind to B7.

Each of the dates deleted from pages A-1 through A-8 of Appendix A are prior to July 11, 1994.

We have been warned that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 or the United States Code, and that such willful and false statements may jeopardize the validity of the subject application or any patent resulting therefrom, and declare that all statements made of our own knowledge are true and that all statements made on information and belief are believed to be true.

Date: Oct. 4, 2001 Signed:   
Gary S. Gray

Date: \_\_\_\_\_ Signed: \_\_\_\_\_  
Jerry Carson

Date: \_\_\_\_\_ Signed: \_\_\_\_\_  
Kashi Javaherian

Date: \_\_\_\_\_ Signed: \_\_\_\_\_  
Paul D. Rennert

Date: \_\_\_\_\_ Signed: \_\_\_\_\_  
Sandra Silver

10027075-122001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Gary S. Gray et al.

Serial No.: 08/595,590

Filed: February 2, 1996

For: CTLA4-Immunoglobulin Fusion Proteins  
Having Modified Effector Functions and Uses  
Therefor

Attorney Docket No.: RPI-007

Group Art Unit: 1806

Examiner: Eyler, Y.

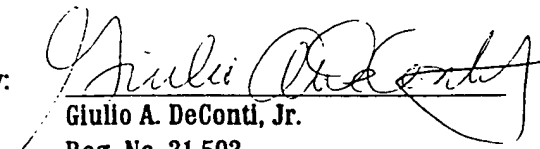
Assistant Commissioner for Patents  
Washington, D.C. 20231

Certificate of First Class Mailing (37 CFR 1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

2-20-98  
Date of Signature and of Mail Deposit

By:

  
Giulio A. DeConti, Jr.  
Reg. No. 31,503

ASSOCIATE POWER OF ATTORNEY

Sir:

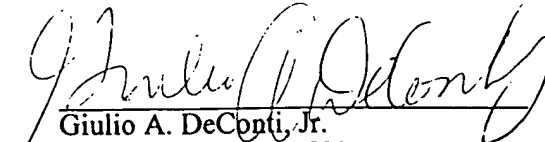
The undersigned attorney has the power of attorney in the subject application. He hereby grants an associate power to:

Megan E. Williams, Ph.D.  
Registration No. P43,270  
Lahive & Cockfield, LLP  
28 State Street  
Boston, MA 02109

10027075-132001

Please continue to forward all written and telephonic communications to Amy E.  
Mandragouras at the address and telephone number listed below.

Respectfully submitted,

  
Giulio A. DeConti, Jr.  
Registration No. 31,503  
Attorney for Applicants

LAHIVE & COCKFIELD, LLP  
28 State Street  
Boston, MA 02109  
Tel. (617) 742-4214

Dated: February 20, 1998

10027075-122001

HUMAN - CD44 - IS

STATUS: This clone, which is currently producing large amounts of active protein in CHO DG44, is being methotrexate amplified.

Recently focus has shifted to the Ig tail portion of this clone. Both  $Fe$  receptor and complement activation activities are determined by sequence in  $CH_2$  domain.

REFS: Confield + Morrison, 1991 J Exp Med (173) 4  
 Juno et al, 1991 J Immunol. (147)  
 TAO et al, 1991 J Exp Med (173) 102  
 Duncan + Winter, 1988 NATURE (332)

It would be useful to design a construct in which these Ig activities were eliminated.

This work will be exactly analogous to what was done last year for the antibody engineering project, where I deleted the  $CH_2$  domain from  $\delta_1$  and mutated residue 235 and 239 in  $\delta_4$ .

see p 115, Book 1139 and //

see p 52, Book 1177 and //

Read and understood by me

Date

*Scott M. Cam*

10027075-122001

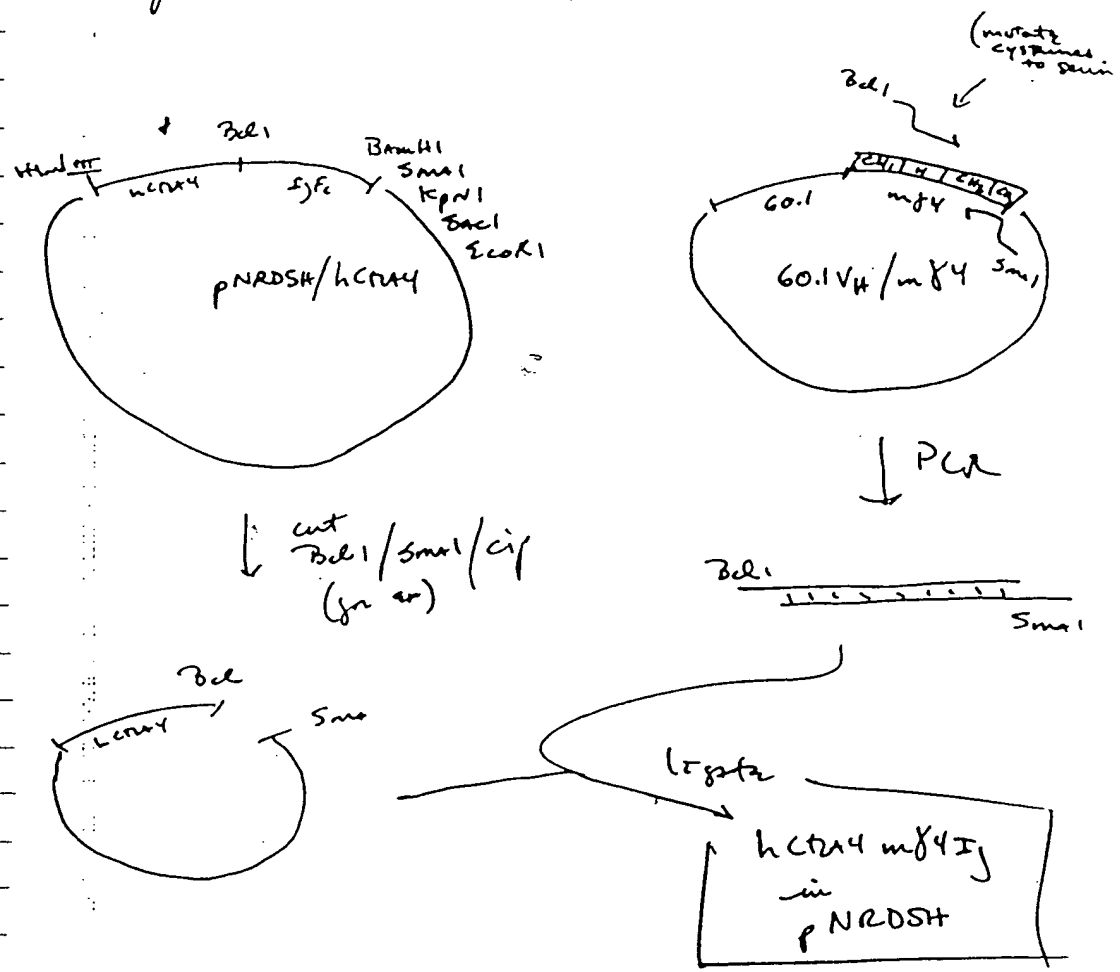
2 STRATEGIES will be USED:

hcr4, mutagenesis of I<sub>h</sub>E

possible strategies:

- PCR out the mutated  $\gamma$  H-CH<sub>2</sub>-CH<sub>3</sub> region from 60.1 V<sub>H</sub> and clone into pNRDSH/hcr4 in place of the existing  $\gamma$  H-CH<sub>2</sub>-CH<sub>3</sub>

(Note that  $\gamma$  also lacks any ability to activate complement - S. Silver)



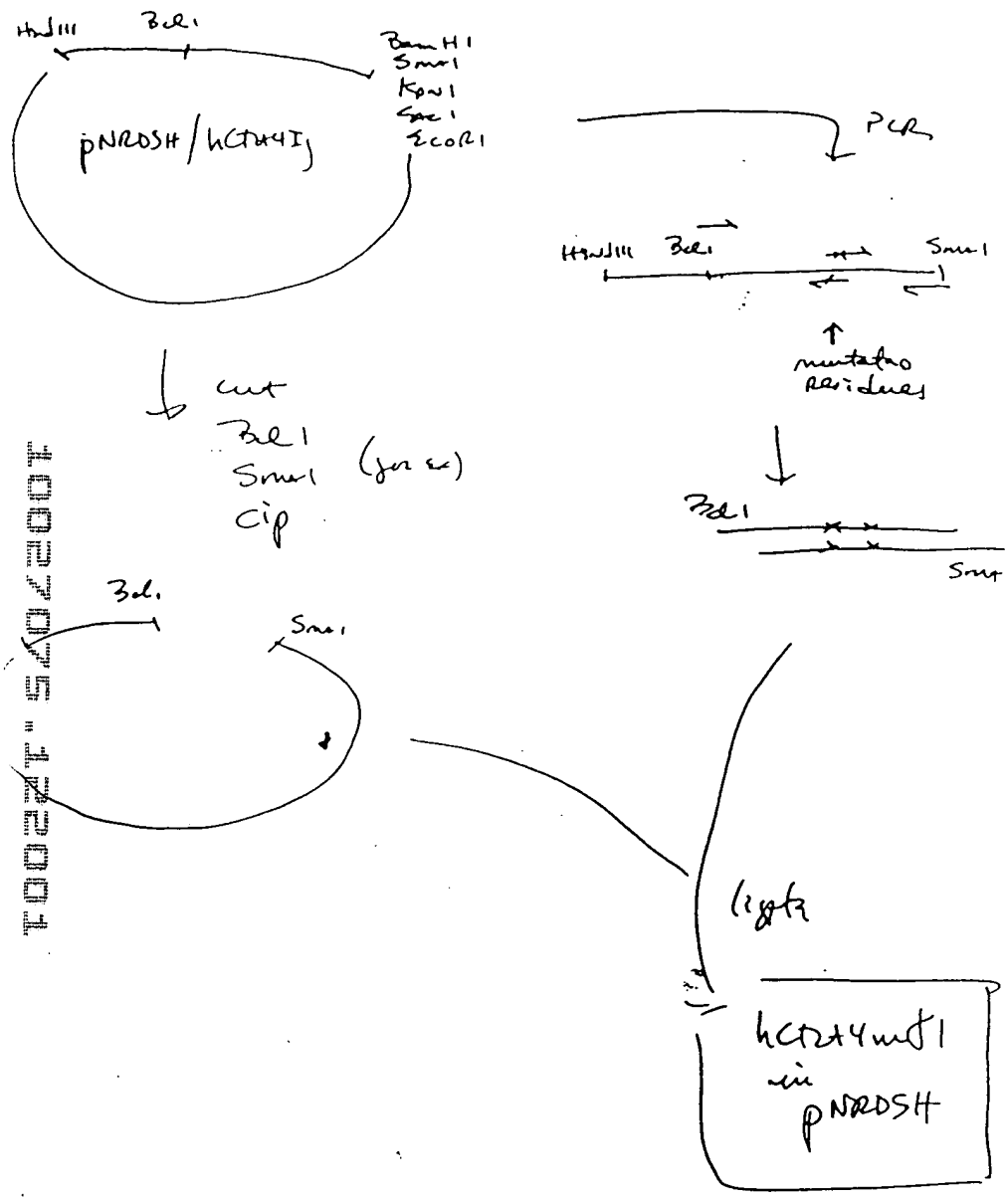
Read and understood by me

Date

*[Signature]*

*[Signature]*

USE NESTED PCR TO GENERATE a mutated  $\delta 1$  from hcr241J. Clone the mfl back into hcr241J.  
pNRDSH:



For this clone mutate residues as follows:

- |     |   |   |   |
|-----|---|---|---|
| 234 | L | → | A |
| 235 | L | → | E |
| 236 | G |   |   |
| 237 | G | → | A |

Read and understood by me

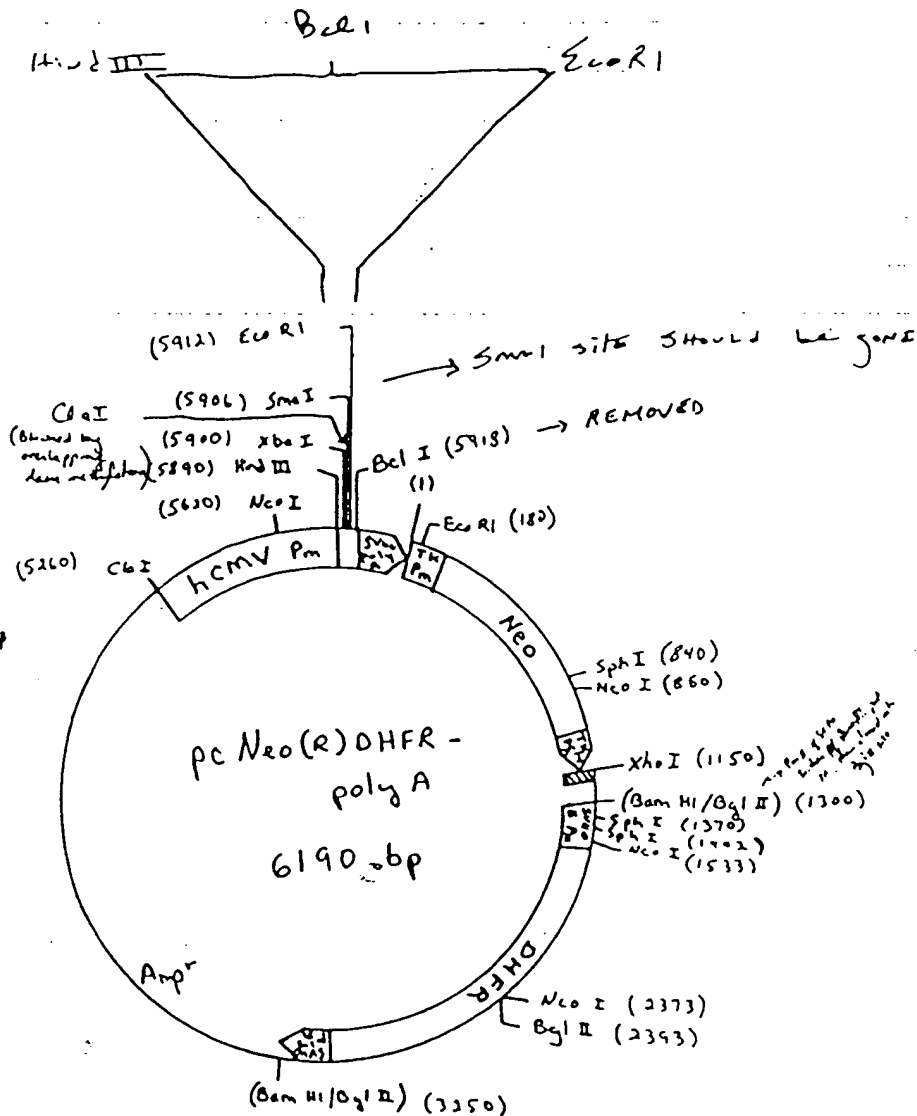
Date

*Handwritten signature*



A-4

Vector:



preproinsulin poly A

Enzymes that  
DO NOT CUT  
EcoRV 1227 p3  
SpeI 1227 p3  
KpnI (1.1.1.1)

5

Read and understood by me

Date

*Paul R. Green*

10027075-122001

for 84:

5' primer - use G. Gaty's original idea to knock out the cysteines in the hinge (84 has two)

P   D (Q)

BCL1

5' GAG CAT TTT CCT GAT CAG GAG TCC AAA TAT

G   P   P   S   P   S   S   P

GGT CCC CCA TCC CCA TCA TCC CCA

(G) (K) (P) (T)

GGT AAG CCA ACCC

DOUBLE CHECK THAT PNRDSH LACKS THIS restriction site

1st use this

3' primer

if needed still have these → →

5' GCA GAG GAATTC GAG CTC GGTACCC GGGGATCC

lock   R1   SmaI   KpnI   XmaI   BamHI

CCAGTGT GGGG ACA G TGGG A CC CGCTCT G CCTCCC

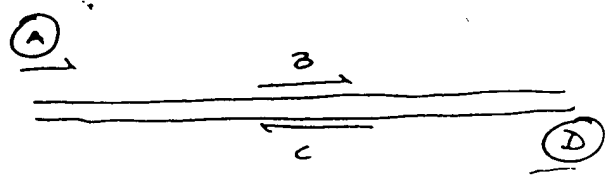
3'

Read and understood by me

Date

*David R. Cunn*

Fr 10/17



5' primer ✓

(A): use Gary Gray's original 8<sub>1</sub> primer:

PRIMER  
 5' GAG CAT TTT CTT GAT CAT GAG CCG AAA TCT TCT CAC AAA ACT  
 CTC ACA TCT CCA CCG TCT CCA GGT ATT C — IgF<sub>2</sub> —  
 — \* — PvuII — SmaI — KpnI — SmaI — EcoRI — ClaI — EcoRV — BglII —  
 — T7 promoter

3' primer (D):

pSP72 MCS: 5' <sup>BamHI</sup> GGA TCCC <sup>SmaI</sup> GGT ACC <sup>KpnI</sup> GAG CTC <sup>SmaI</sup> GAA TTC <sup>EcoRI</sup> 3'  
 3' CCT AGGGG CCA ATGG CTC GAG CTTAAG 5'

PRIMER:

5' GCA GAG GAA TTC GAG CTC GGT ACC GGG GAT CC  
 lock

10027075.122001

Read and understood by me

Date

*Michael C. ...*

B and C

L L G G P  
CTCTG GGG GGA CCC

(B) 5' CCATCTCTTCTCTCAACA CCT GAA  
A P E  
GCT GAA GGG GCT  
GCC GAG ... GGC  
GCA ... GCA  
GCG GCG

GAAGGAGTCGTGGACTTCTGGCTCCCCCT

P S V F L F P  
CCG TCA GTCTTC CTCTTCCCC 3'

GGCAGTCAAGAGAGAGGGGGGTTTGGG 5' (C)

10027075-12201

Oligonucleotide Requests

DNA SYNTHESIS REQUEST FORM

REQUESTED BY Paul Ramey

PROJECT CHARGED 87 16T

DATE REQUESTED \_\_\_\_\_

DATE REQUIRED (NO ASAP) \_\_\_\_\_

SEQUENCE NAME muGamma 4-5'

LENGTH 67

SEQUENCE:

5' GAGCATTCTCTGATCAAGGA  
GTCCAAATAATGCTCCCKCAT  
CCCCATCATGCKAGSITAAAG  
CKAAACC \_\_\_\_\_ 3'

Read and understood by me

Date

*[Signature]*

# Transient Expression of IgLhCTLA4 Ig / F-12

A-8

→ 38

293 culture supernatant tested again on IgG1, IgG4

ELISA using higher dilution.

Results:

DATE:

## 293 Transients

IDENTIFICATION		ug/mL	ug/10 <sup>7</sup> cells	Dilutions
		IgG 1	IgG 4	1:10 → 1:2
ILL				
CTLA4 <sup>(P2)</sup> -Y1	1	2.12	1.77	
CTLA4-m84	2	14.88	3.23	
IgL CTLA4 <sup>(P2)</sup> -Y1	3	34.26	33.65	
IgL CTLA4 <sup>(P3)</sup> -Y1	4	33.9	35.54	

⊕ Controls

2.25 ug/L 156 ug/L

expected:

2 ug/L 125 ug/L

These samples were tested for their ability to compete for B7 binding. Assay run by Nancy Shoen.

10027075-122001

	unlabeled CTLA4	IC samples				Optical Density				w/ 70% B7		w/ 70% B7		w/ 70% B7		w/ 70% B7		w/ 70% B7	
		#1	#2	#3	#4	#5	6	7	8	9	10	11	12	13	14	15	16	17	18
20-3/8 A	0.119	0.404	0.078	0.066	0.063	0.134	0.128	0.150	0.253	0.458									
25 B	0.170	0.412	0.083	0.083	0.075	0.128	0.147	0.182	0.291	0.343									
22.5 C	0.209	0.349	0.096	0.090	0.076	0.153	0.192	0.173	0.323	0.318									
11.25 D	0.287	0.412	0.138	0.104	0.096	0.199	0.237	0.233	0.448	0.398									
5.6 E	0.342	0.450	0.157	0.144	0.122	0.260	0.288	0.282	0.445	0.381									
7.8 F	0.390	0.454	0.268	0.158	0.149	0.285	0.368	0.349	0.549	0.415									
3.9 G	0.384	0.504	0.279	0.198	0.183	0.369	0.482	0.425	0.392	0.408									
0 H	0.425	0.649	0.407	0.462	0.524	0.597	0.496	0.489	0.523	0.424									

20-3/8 A  
25 B  
22.5 C  
11.25 D  
5.6 E  
7.8 F  
3.9 G  
0 H

As before the IgLhCTLA4 is not functional. The two class of IgLhCTLA4 do effectively compete with CTLA4-Ig - 2.5 ug/L.

Plasmids are ready for transfection in still NIA mice.

→ Samples titrated serially 1:2 - in 50% v/v

→ All sample wells contain 50% of 70% B7

→ 43

Read and understood by me

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Gary S. Gray, Jerry Carson,  
Kashi Javaherian, Paul D. Rennert and Sandra Silver

Group Art Unit: 1642

Examiner: Helms, L.

*Continuation of*  
Serial No.: ~~09/227,595~~ *09/227,595*

*new*  
Filed: ~~January 8, 1999~~ *December 20, 2001*

For: CTLA4-Cy4 Fusion Proteins (as amended)

Attorney Docket No.: RPN-001

Assistant Commissioner for Patents  
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*Under* *1.10*  
Certificate of First Class Mailing (37 CFR 1.8(a))

*new*  
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*December 20, 2001*  
Date of Signature and of Mail Deposit

By:

*Garry Taylor*  
~~Megan E. Williams~~ *Larry Taylor*  
Registration No. 43,270  
Attorney for Applicants *new*

*Mailing Label No. EL 833315914US*

DECLARATION UNDER 37 C.F.R. 1.131 BY

GARY S. GRAY, JERRY CARSON, KASHI JAVAHERIAN, PAUL D. RENNERT

AND SANDRA SILVER

Sir:

We, Gary S. Gray, Jerry Carson, Kashi Javaherian, Paul D. Rennert And Sandra

Silver declare:

1. We are the inventors of the subject matter described and claimed in the above-referenced patent application.

2. Prior to July 11, 1994, the invention described and claimed in the above-referenced application was completed in this country, as evidenced by the following:

- A. Prior to July 11, 1994, we were in possession of a cloned CTLA4Ig molecule which was producing large amounts of active protein in CHO DG44 cells.

Page A-1 of Appendix A describes amino acid modifications to the Ig portion of the CTLA4Ig molecule. Deletion of the CH<sub>2</sub> domain from  $\gamma$ 1 and mutations to amino acids 235 and 237 in  $\gamma$ 4 are described.

Page A-2 of Appendix A describes amplification of the mutated  $\gamma$ 4 Hinge-CH<sub>2</sub>-CH<sub>3</sub> region and the cloning of the mutated  $\gamma$ 4 into pNRDSH/hCTLA4 to replace the existing  $\gamma$ 1 Hinge-CH<sub>2</sub>-CH<sub>3</sub>.

Page A-3 of Appendix A describes a second method which involves the use of nested PCR to generate a mutated  $\gamma$ 1 (having an L to A substitution at amino acid 234, an L to E substitution at amino acid 235, and a G to A change at amino acid 237) from hCTLA4Ig and the cloning of the mutated  $\gamma$ 1 back into hCTLA4 pNRDSH.

Pages A-5 and A-6 show primers for use in making mutated forms of CTLA4Ig.

The notebook pages submitted as pages A-1 through A-7 of Appendix A demonstrate that we completed the claimed invention in this country prior to July 11, 1994.

- B. In addition, as shown on page A-8 of Appendix A, prior to July 11, 1994, we demonstrated that mutated forms of CTLA4Ig effectively competed with biotinylated CTLA4-Ig for binding to B7.

In the experiment presented at the bottom of the page B7Ig was put onto plates. Biotinylated CTLA4Ig was added to the plates in a fixed amount. Test forms of CTLA4Ig were added in serial dilutions to test for their ability to compete for binding to B7 on the plate. In this type of assay as the amount of an effective competitor is increased, the amount of biotinylated CTLA4Ig that binds decreases. This leads to a decrease in the optical density readout. Unlabelled CTLA4Ig (left row) was used as a positive control.

The data show that samples #2, #3, and #4 (in rows 2, 4, and 5, respectively) effectively competed with unmodified CTLA4Ig for binding to B7. As indicated in the table in the middle of the page, sample 2 was oncoCTLA4-my4; sample 3 was IgLCTLA4- $\gamma$ 1; and sample 4 was IgLCTLA4- $\gamma$ 1.

10027075-122001

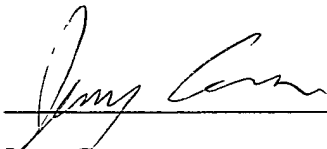
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Date: \_\_\_\_\_ Signed: \_\_\_\_\_

Gary S. Gray

Date: October 4, 2001 Signed: 

Jerry Carson

Date: \_\_\_\_\_ Signed: \_\_\_\_\_

Kashi Javaherian

Date: \_\_\_\_\_ Signed: \_\_\_\_\_

Paul D. Rennert

Date: \_\_\_\_\_ Signed: \_\_\_\_\_

Sandra Silver

10027075-122001



HUMAN - CD4 - IS

STATUS: This clone, which is currently producing large amounts of active protein in CHO DG44, is being methotrexate amplified.

Recently focus has shifted to the Ig tail portion of this clone. Both  $Fe$  receptor and complement activation activities are determined by sequence in  $CH_2$  domain.

## REFS:

Confield + Morrison, 1991 J Exp Med (173) 4  
 Juno et al, 1991 J Immunol. (147)  
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 Duncan + Winter, 1988 NATURE (332)

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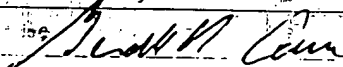
This work will be exactly analogous to what was done last year for the antibody engineering project, where I deleted the  $CH_2$  domain from  $\delta_1$  and mutated residue 235 and 237 in  $\delta_4$

see p 115, Book 1139 and //

see p 52, Book 1177 and //

Read and understood by me

Date



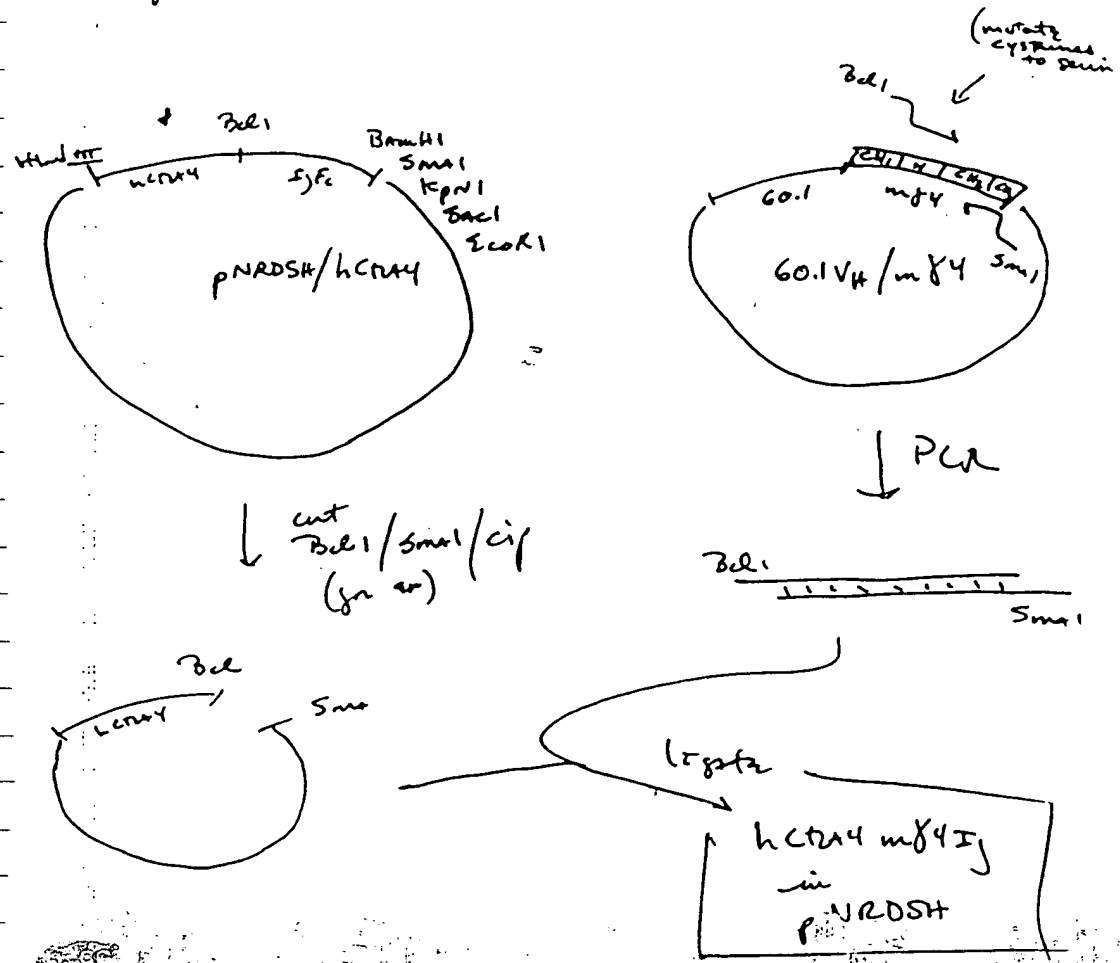
2 STRATEGIES will be USED:

hcr4, mutants of I<sub>F</sub>E

possible strategies:

- ① PCR out the mutant  $\gamma$  H-CH<sub>2</sub>-CH<sub>3</sub> region from 60.1 V<sub>H</sub> and clone into pNRDSH/hcr4 in place of the existing  $\gamma$  H-CH<sub>2</sub>-CH<sub>3</sub>

(Note that  $\gamma$  also lacks any ability to activate complement - S. Silver)



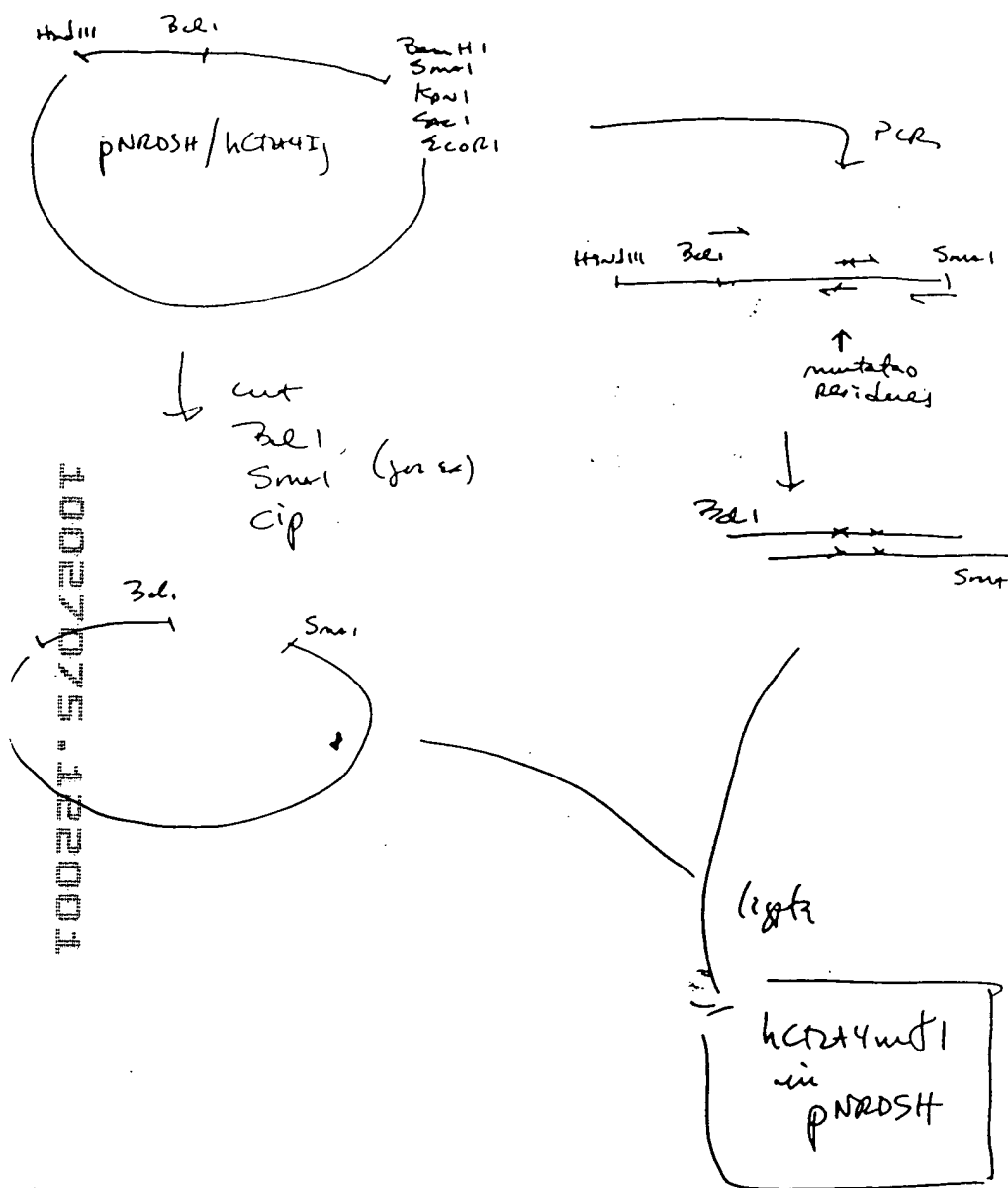
Read and understood by me

Date

*[Signature]*

2

USE NEBRO PCR TO generate a mutated  $\delta 1$  from hcr2445. Clone the mfl back into hcr2445. pNRDSH:



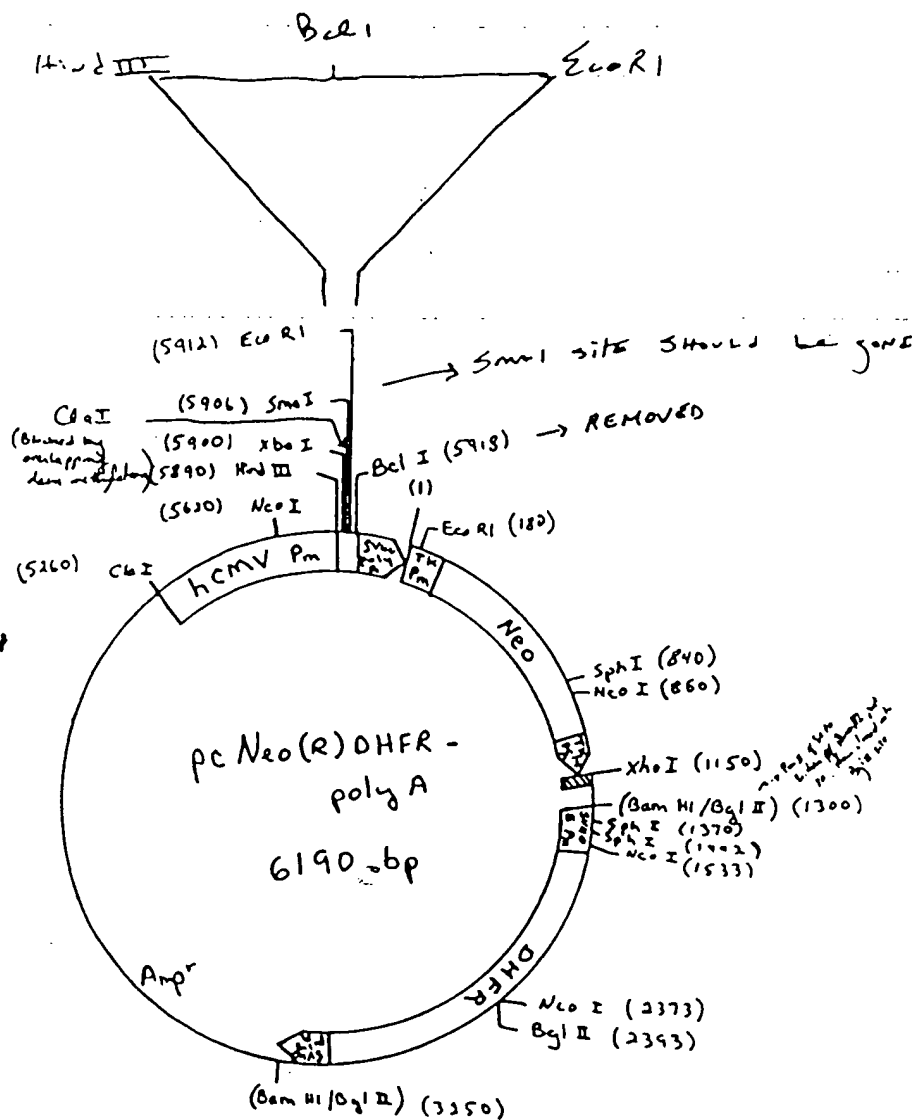
For this clone mutate residues as follows:

234	L	→	A
235	L	→	E
236	G		
237	G	→	A

Read and understood by me

Date

*[Signature]*

Vector:

preproinsulin poly A

Enzymes that  
DO NOT CUT

EcoRV 1227 r3

SpeI 1227 r3

KpnI (1142)

Read and understood by me

Date

*Paul R. Green*

10027075-122001

for 84:

**5' primer** - use G. Garty's original idea to knock out the cysteines in the hinge (84 has two)

P   D (Q)   E   S   K   Y

BCL1

5' GAG CAT TTT CCT GAT CAG GAG TCC AAA TAT

G   P   P   S   P   S   S   P

GGT CCC CCA TCC CCA TCA TCC CCA

(G) (K) (P) (T)

GGT AAG CCA ACCC

DOUBLE CHECK THAT PNRDSH LACKS THIS restriction site

1st use this

**3' primer**

if needed still have these → →

5' GCA GAG GAATTC GAG CTC GGTACCC GGGGATCC

lock   R1   SmaI   KpnI   XmaI   BamHI

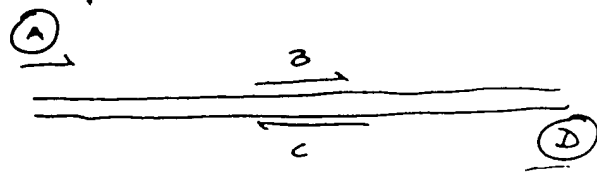
CCAGTGTGGGG ACA G TGGG A CC CGCTCT G CCTCCC

3'

Read and understood by me

Date

*Read & Com*



5' primer ✓

Ⓐ: use Gary Gray's original 5' primer:

PRIMER  
 5' GAG CAT TTT CTT GAT CAT GAG CCG AAA TGT TGT GTC AAA TGT  
 CTC ACA TGT CCA CCG TGT CCA GGT AATC — Bgl —  
 — \* — PstHI — SmaI — KpnI — SmaI — EcoRI — ClaI — EcoRV — BglII —  
 — TT promoter

3' primer Ⓓ:

5' 5' GATCCC GGTACC GAG CTC GAA TTC  
 3' CTTAGGGG CCG ATGG CTC GAG CTTAAG 3'  
 XbaI SmaI KpnI SmaI EcoRI

PRIMER:

5' GCA GAG GAA TTC GAG CTC GGT ACC GGG GATCC  
 lock

Read and understood by me

Date

*[Signature]*

10027075-122001

B and C

L L G G P  
CTCTG GGG GGA CCG

(B) 5' CCATCTCTTCTCTCAGCA CCT GAA

GCT GAA GGG GCT  
GCC GAG ... GCG  
GCA ... GCA  
GCG GCG

GAAGGAGTCGTGGACTTCTGGCTCCCCCT

P S V F L F P  
CCG TCA GTCTTC CTCTTCCCC 3'

GGCAGT CAGAAAGAGAAAGGGGGGTTTGGG 5' (C)

36nucleotide Request

DNA SYNTHESIS REQUEST FORM

REQUESTED BY Paul R. Ruvet

PROJECT CHARGED B7 1CT

DATE REQUESTED

DATE REQUIRED (NO ASAP)

SEQUENCE NAME mu gamma 4 - 5'

LENGTH 67

SEQUENCE:

5' G A G C A T T T C C T G A T C A G G A  
G T C C A A A T A T G G T C C C C A T  
C C C C A T C A T C C C A G G T A A G  
C C A A C C C C C C C C C C C C 3'

Read and understood by me

Date

*[Signature]*

# Transient Expression of IgL CTLA4 Ig 1/12

A-8

→ 3F

293 culture supernatant tested again a IgG1, IgG4

ELISA using higher detection.

Results:

DATE:

## 293 Transients

Sample Identification				ug/mL	ug/10 <sup>7</sup> cells	Dilutions
				IgG 1	IgG 4	1:10 → 1:2
IL2	CTLA4 <sup>(+)</sup>	81	1	2.12	1.77	↓
IL2	CTLA4-m84		2	14.88	3.23	
IgG	CTLA4 <sup>(+)</sup>	Y1	3	34.26	33.65	
IgG	CTLA4(3)-Y1		4	33.9	35.54	

⊕ Controls

2.25 ug/L 156 ug/L

expected:

2 ug/L 125 ug/L

These samples were tested for their ability to compete for B7 binding. Assay run by Nancy Horton.

		IC samples					Optical Density						
		#1	#2	#3	#4	#5	6	7	8	9	10	11	12
20.5/10	A	0.119	0.404	0.078	0.066	0.063	0.134	0.128	0.150	0.253	0.458		
25	B	0.170	0.412	0.083	0.083	0.075	0.128	0.147	0.182	0.291	0.343		
12.5	C	0.209	0.349	0.096	0.090	0.076	0.153	0.192	0.173	0.323	0.318		
11.25	D	0.287	0.412	0.138	0.104	0.096	0.199	0.237	0.233	0.448	0.398		
5.6	E	0.342	0.450	0.157	0.144	0.122	0.260	0.288	0.282	0.445	0.381		
7.8	F	0.390	0.454	0.268	0.158	0.149	0.285	0.368	0.349	0.549	0.415		
8.9	G	0.384	0.504	0.279	0.198	0.183	0.369	0.462	0.425	0.392	0.408		
0	H	0.425	0.649	0.407	0.462	0.524	0.597	0.496	0.489	0.523	0.424		

IC samples  
Signal  
m.m.h.s.)

As before the IgG CTLA4 is not functional. The two clones of IgL CTLA4 do effectively compete with CTLA4-Ig - 2.5 ug/L.

Plasmids are ready for transfection into cells. Also here.

→ Samples titrated serially 1:2 - in 500 uL

→ All sample wells contain 500 of 70 ug/mL CTLA4 Ig

→ 43

Read and understood by me

Date



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Gary S. Gray, Jerry Carson,  
Kashi Javaherian, Paul D. Rennert and Sandra Silver

Group Art Unit: 1642

Examiner: Helms, L.

*Continuation of*  
Serial No.: 09/227,595

Filed: ~~January 8, 1999~~ *December 20, 2001*

For: CTLA4-Cy4 Fusion Proteins (as amended)

Attorney Docket No.: RPN-001

Assistant Commissioner for Patents  
Washington, D.C. 20231

*Under 1.10*  
Certificate of First Class Mailing (37 CFR ~~1.8(a)~~)

I hereby certify that this correspondence is being deposited with the United States Postal Service as ~~first class mail~~ *Express Mail to Addressee* in an envelope addressed to: Assistant Commissioner for Patents, ~~Box Patent Applications~~, Washington, D.C. 20231 on the date set forth below.

*December 20, 2001*  
Date of Signature and of Mail Deposit

By:

*Larry Taylor*  
~~Megan E. Williams~~  
Registration No. 43,270  
~~Attorney for Applicants~~

*Mailing Label No. EL93331591YUS*

DECLARATION UNDER 37 C.F.R. 1.131 BY

GARY S. GRAY, JERRY CARSON, KASHI JAVAHERIAN, PAUL D. RENNERT

AND SANDRA SILVER

Sir:

We, Gary S. Gray, Jerry Carson, Kashi Javaherian, Paul D. Rennert And Sandra

Silver declare:

1. We are the inventors of the subject matter described and claimed in the above-referenced patent application.

2. Prior to July 11, 1994, the invention described and claimed in the above-referenced application was completed in this country, as evidenced by the following:

- A. Prior to July 11, 1994, we were in possession of a cloned CTLA4Ig molecule which was producing large amounts of active protein in CHO DG44 cells.

Page A-1 of Appendix A describes amino acid modifications to the Ig portion of the CTLA4Ig molecule. Deletion of the CH<sub>2</sub> domain from  $\gamma$ 1 and mutations to amino acids 235 and 237 in  $\gamma$ 4 are described.

Page A-2 of Appendix A describes amplification of the mutated  $\gamma$ 4 Hinge-CH<sub>2</sub>-CH<sub>3</sub> region and the cloning of the mutated  $\gamma$ 4 into pNRDSH/hCTLA4 to replace the existing  $\gamma$ 1 Hinge-CH<sub>2</sub>-CH<sub>3</sub>.

Page A-3 of Appendix A describes a second method which involves the use of nested PCR to generate a mutated  $\gamma$ 1 (having an L to A substitution at amino acid 234, an L to E substitution at amino acid 235, and a G to A change at amino acid 237) from hCTLA4Ig and the cloning of the mutated  $\gamma$ 1 back into hCTLA4 pNRDSH.

Pages A-5 and A-6 show primers for use in making mutated forms of CTLA4Ig.

The notebook pages submitted as pages A-1 through A-7 of Appendix A demonstrate that we completed the claimed invention in this country prior to July 11, 1994.

- B. In addition, as shown on page A-8 of Appendix A, prior to July 11, 1994, we demonstrated that mutated forms of CTLA4Ig effectively competed with biotinylated CTLA4-Ig for binding to B7. In the experiment presented at the bottom of the page B7Ig was put onto plates. Biotinylated CTLA4Ig was added to the plates in a fixed amount. Test forms of CTLA4Ig were added in serial dilutions to test for their ability to compete for binding to B7 on the plate. In this type of assay as the amount of an effective competitor is increased, the amount of biotinylated CTLA4Ig that binds decreases. This leads to a decrease in the optical density readout. Unlabelled CTLA4Ig (left row) was used as a positive control. The data show that samples #2, #3, and #4 (in rows 2, 4, and 5, respectively) effectively competed with unmodified CTLA4Ig for binding to B7. As indicated in the table in the middle of the page, sample 2 was oncoCTLA4-my4; sample 3 was IgLCTLA4- $\gamma$ 1; and sample 4 was IgLCTLA4- $\gamma$ 1.

1002705-122001

These data demonstrate that the modified CTLA4Ig molecules were still able to bind to B7.

Each of the dates deleted from pages A-1 through A-8 of Appendix A are prior to July 11, 1994.

We have been warned that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 or the United States Code, and that such willful and false statements may jeopardize the validity of the subject application or any patent resulting therefrom, and declare that all statements made of our own knowledge are true and that all statements made on information and belief are believed to be true.

Date: \_\_\_\_\_ Signed: \_\_\_\_\_

Gary S. Gray

Date: \_\_\_\_\_ Signed: \_\_\_\_\_

Jerry Carson

Date: 10-3-01 Signed: Kashi Javaherian

Kashi Javaherian

Date: \_\_\_\_\_ Signed: \_\_\_\_\_

Paul D. Rennert

Date: \_\_\_\_\_ Signed: \_\_\_\_\_

Sandra Silver

10027075-122001

HUMAN - CD44 - IS

STATUS: This clone, which is currently producing large amounts of active protein in CHO D44, is being methotrexate amplified.

Recently focus has shifted to the Ig tail portion of this clone. Both  $FE$  receptor and complement activation activities are determined by sequence in  $CH_2$  domain.

## REFS:

Carfield + Morrison, 1991 J Exp Med (173) 4  
 Juno et al, 1991 J Immunol. (147)  
 TAO et al, 1991 J Exp Med (173) 102  
 Duncan + Winter, 1988 Nature (332)

It would be useful to design a construct in which these Ig activities were eliminated.

This work will be exactly analogous to what was done last year for the Antibody engineering project, where I deleted the  $CH_2$  domain from  $\delta_1$  and mutated residue 235 and 237 in  $\delta_4$

see p 115, Book 1139 and //

see p 52, Book 1177 and //

Read and understood by me



Scott M. Cam

Date



10027075.122001

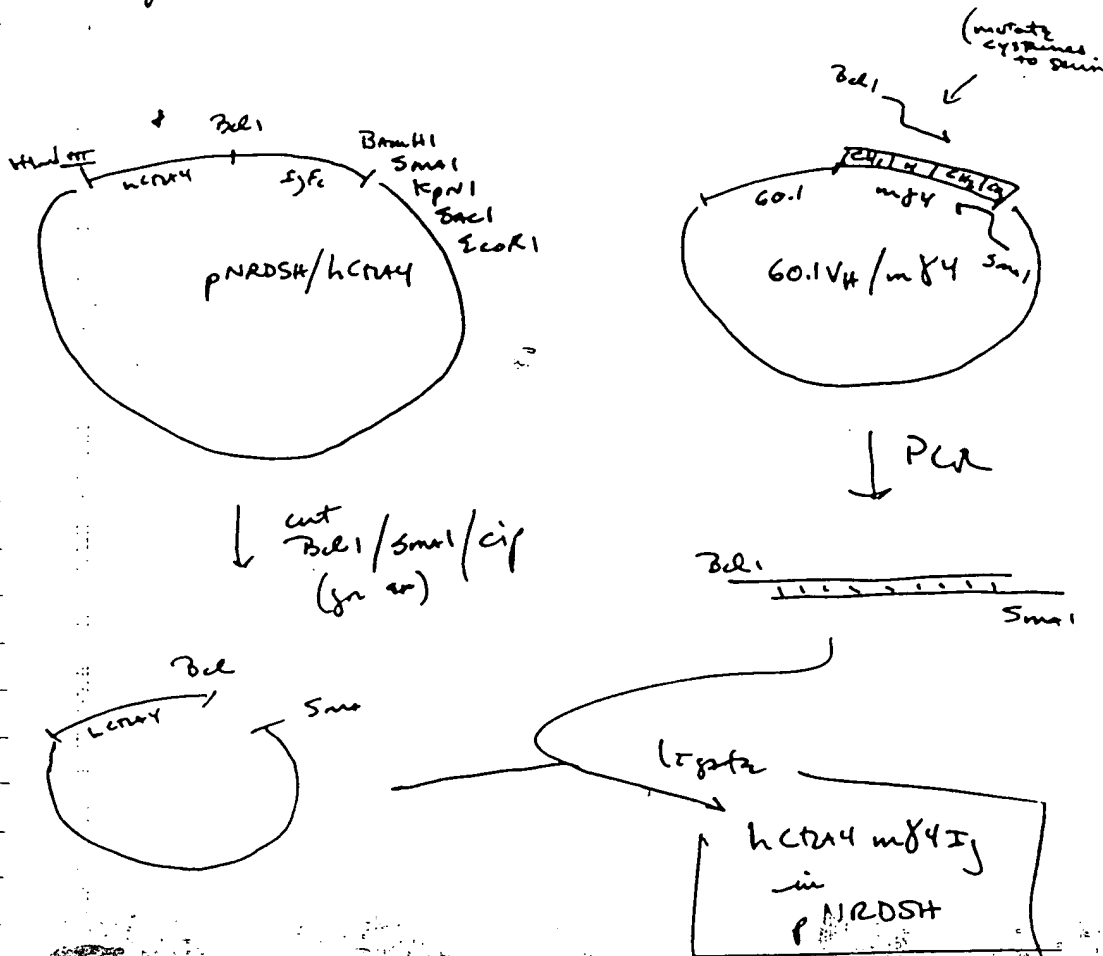
2 STRATEGIES will be USED:

hcr4, mutagenesis of I<sub>g</sub>E

possible strategies:

- ① PCR out the mutation  $\gamma 4$  H-CH<sub>2</sub>-CH<sub>3</sub> region from 60.1 V<sub>H</sub> and clone into pNRDSH/hcr4 in place of the existing  $\gamma 1$  H-CH<sub>2</sub>-CH<sub>3</sub>

(Note that  $\gamma 4$  also lacks any ability to activate complement - S. Silver)



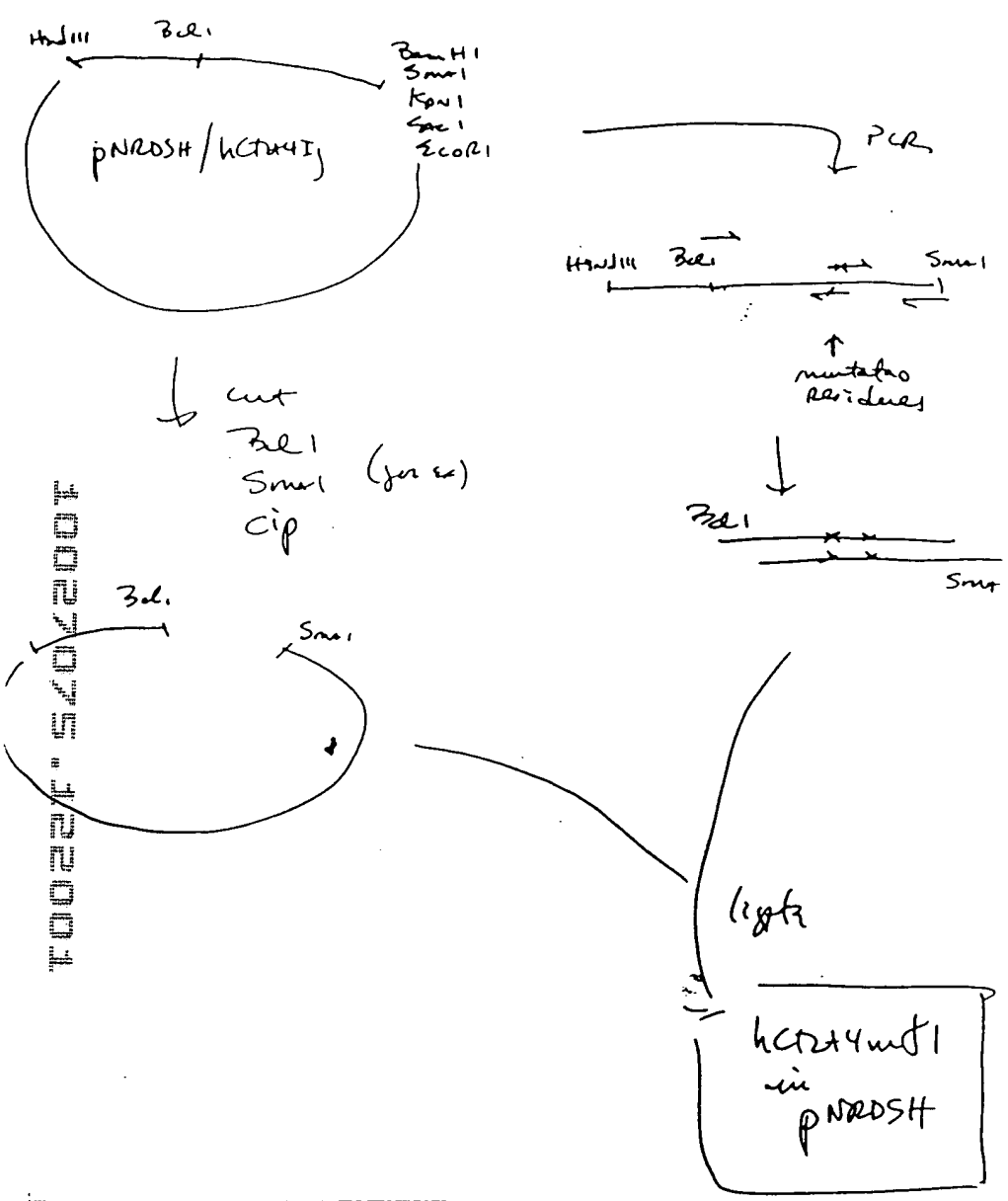
Read and understood by me

Date

*[Signature]*

*[Signature]*

USE NEB30 PCR TO GENERATE a mutated 81 from hcr2415. Clone the mfl back into hcr2415. pNRDSH:



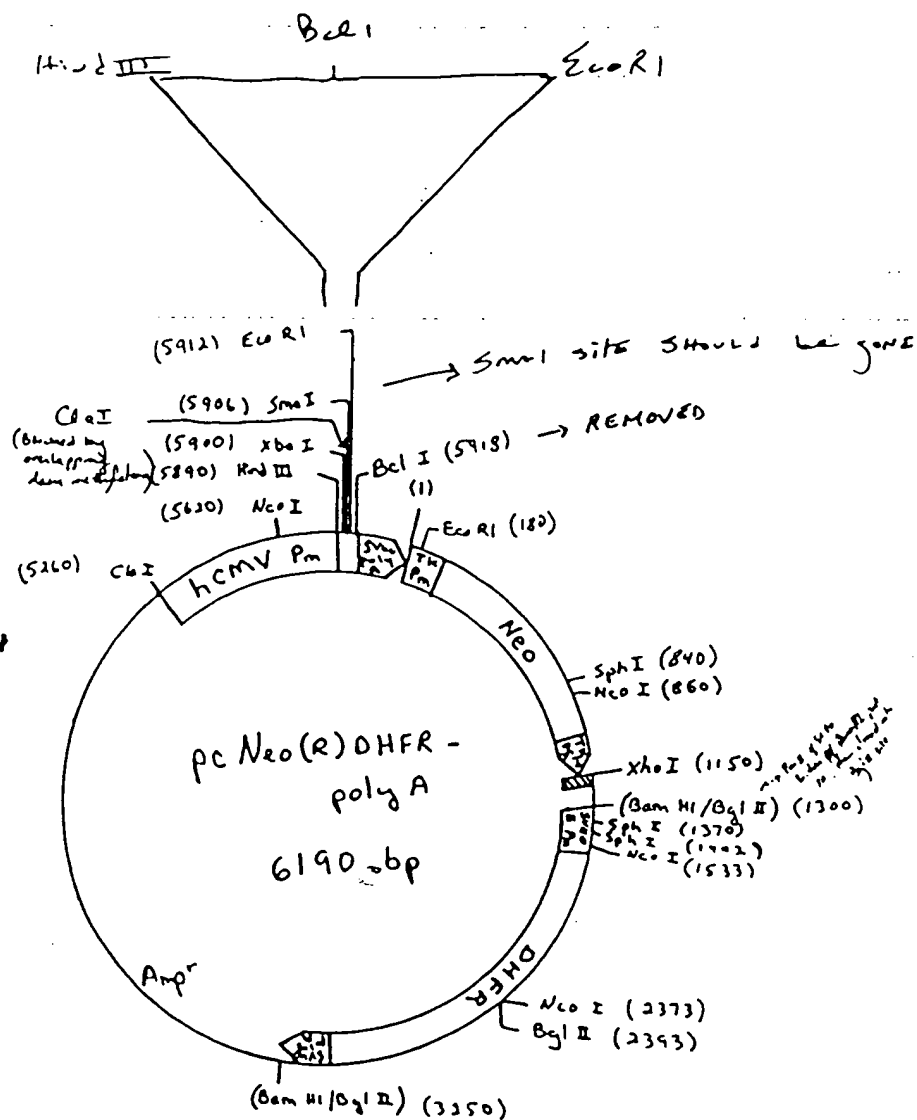
For this clone mutate residues as follows:

- |     |   |   |   |
|-----|---|---|---|
| 234 | L | → | A |
| 235 | L | → | E |
| 236 | G |   |   |
| 237 | G | → | A |

Read and understood by me

Date

*Handwritten signature*

Vector:Enzymes that  
DO NOT CUT

EcoRV 1227 p3

Sph I 1227 p3

Kpn I (11-1-12)

Read and understood by me

Date

*Paul R. Green*

for 84:

**5' primer** - use G. Gatt's original idea to knock out the cysteines in the hinge (84 has two)

	P	D	(Q)		E	S	K	Y		
	BCL1									
5'	GAG	CAT	TTT	CCT	GAT	CAG	GAG	TCC	AAA	TAT
	G	P	P	S	P	S		S		P
	GGT	CCC	CCA	TCC	CCA	TCA		TCC		CCA
	(G)	(K)	(P)	(T)						
	GGT	AAG	CCA	ACCC						

DOUBLE CHECK THAT PNRDSH LACKS THIS restriction site

1st use this

**3' primer**

if needed still have these → →

5' GCA GAG GAATTC GAG CTC GGT ACC C G G G G ATCC

lock R1 Sma1 Kpn1 Xma1 BamHI

CCAGTGT G G G G ACA G T G G G A CC CGCTCT G CCTCCC

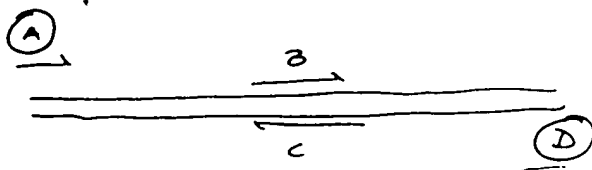
3'

Read and understood by me

Date

*David R. Carr*





5' primer ✓

①: use Gary Gray's original 8<sub>1</sub> primer:

PRIMER

5' GAG CAT TTT <sup>P</sup> <sup>D</sup> <sup>DEL</sup> <sup>A</sup> GAT CAT <sup>E</sup> <sup>P</sup> <sup>K</sup> <sup>S</sup> <sup>S</sup> <sup>D</sup> <sup>K</sup> <sup>T</sup>  
 CTC ACA <sup>T</sup> <sup>S</sup> <sup>P</sup> <sup>D</sup> <sup>S</sup> <sup>P</sup> <sup>G</sup> <sup>K</sup> <sup>GGT</sup> <sup>ATG</sup> C — D<sub>3</sub> F<sub>2</sub> —

— \* — BantH-SmaI-KpnI-SacI-EuRI-ChaI-EuRS-Bgl2 —

— T<sub>7</sub> promoter

3' primer ②:

pSP72 MCS: 5' <sup>BamHI</sup> <sup>SmaI</sup> <sup>KpnI</sup> <sup>SacI</sup> <sup>EcoRI</sup> 3'  
 5' G G A T C C C G G G T A C C G A G C T C G A A T T C  
 3' C C T A G G G G C C C A T G G C T C G A G C T T A A G 5'

PRIMER:

5' G C A G A G G A A T T C G A G C T C G G T A C C G G G G A T C C 3'  
 lock

Read and understood by me

Date

*[Signature]*

B and C

L L G G P  
ATCCTG GGG GGA CCG

(B) 5' CCATCCTTCTCTCA GCA CCT GAA

GCT GAA GGG GCT  
GCC GAG ... GCG  
GCA ... GCA  
GCG GCG

GAA GGA GTC GT GGA CTT C G G C T C C C C C GT

P S V F L F P  
CCG TCA GTCTTC CTCTTCCCC 3'

GGCA GT CAG AAG GAG AAG GGG G GTTTT GGG 5' (C)

36 nucleotide Requests

DNA SYNTHESIS REQUEST FORM

REQUESTED BY Paul R. Brown

PROJECT CHARGED 37 10T

DATE REQUESTED

DATE REQUIRED (NO ASAP)

SEQUENCE NAME mu gamma 4 - 5'

LENGTH 67

SEQUENCE:

5' G A G C A T T T T C C T G A T C A G G A  
G T C C A A A T A T G G T C C C C A T  
C C C C A T C A T C C C A G G T A A G  
C C A A C C C 3'

Read and understood by

Date

*[Signature]*

# Transient Expression of IgL heterodimers 1/12/12

A-8

→ 3F

293 culture supernatant tested again on IgG1, IgG4

Results: ELISA using higher dilution.

DATE:

## 293 Transients

Cell Identification		ug/mL	ug/10 <sup>7</sup> cells	Dilutions
		IgG1	IgG4	1:10 → 1:2
IL2	CTL4 <sup>(P2)</sup> -Y1	2.12	1.77	
	CTL4-m84	14.88	3.23	
	IgL CTL4 <sup>(-2)</sup> -Y1	34.26	33.65	
	IgL CTL4 <sup>(B)</sup> -Y1	33.91	35.54	

⊕ Controls

2.25 ug/L 156 ug/L

expected:

2 ug/L 125 ug/L

These samples were tested for their ability to compete for B7.2 in assay run by Nancy Graham.

10027075-122001

	unlabeled CTL4 <sup>Y1</sup>	IC samples				Optical Density		wt		Aug 10/11		Aug 10/11		Aug 10/11		Aug 10/11		Aug 10/11	
		#1	#2	#3	#4	#5	#6	#7	#8	#9	#10	#11	#12	#13	#14	#15	#16	#17	#18
20-7/12 A	0.119	0.404	0.078	0.066	0.063	0.134	0.128	0.150	0.253	0.458									
25 B	0.170	0.412	0.083	0.083	0.075	0.128	0.147	0.182	0.291	0.343									
12.5 C	0.209	0.349	0.096	0.090	0.076	0.153	0.192	0.173	0.323	0.318									
11.25 D	0.287	0.412	0.138	0.104	0.096	0.199	0.237	0.233	0.448	0.398									
5.6 E	0.342	0.450	0.157	0.144	0.122	0.260	0.288	0.282	0.445	0.381									
7.8 F	0.390	0.454	0.268	0.158	0.149	0.285	0.368	0.349	0.549	0.415									
5.9 G	0.384	0.504	0.279	0.198	0.183	0.369	0.462	0.425	0.392	0.408									
0 H	0.425	0.649	0.407	0.462	0.524	0.597	0.496	0.489	0.523	0.424									

0.119  
0.170  
0.209  
0.287  
0.342  
0.390  
0.384  
0.425

As before the IgL CTL4<sup>Y1</sup> is not functional. The two clones of IgL CTL4<sup>Y1</sup> do effectively compete with CTL4<sup>Y1</sup>-Ig-2.25 ug/L.

Plasmids are ready for transfection into still NIA cells.

→ Samples titrated serially 1:2 - in 500 uL

→ All sample wells contain 50% of 70 ug/mL CTL4<sup>Y1</sup> buffer

→ 43

Read and understood by me

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Gary S. Gray, Jerry Carson,  
Kashi Javaherian, Paul D. Rennert and Sandra Silver

Group Art Unit: 1642

Examiner: Helms, L.

*Continuation of*  
Serial No.: 09/227,595

*new*  
Filed: ~~January 8, 1999~~ *December 20, 2001*

For: CTLA4-Cy4 Fusion Proteins (as amended)

Attorney Docket No.: RPN-001

Assistant Commissioner for Patents  
Washington, D.C. 20231

*Under 1.10*  
*new* Certificate of First Class Mailing (37 CFR 1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as *Ex press Mail to Addressee* first class mail in an envelope addressed to: Assistant Commissioner for Patents, *Box Patent Application*, Washington, D.C. 20231 on the date set forth below.

*December 20, 2001*  
Date of Signature and of Mail Deposit

By:

*Larry Taylor*  
Megan E. Williams  
Registration No. 43,270  
Attorney for Applicants *new*

*Mailing Label No. EL 833315914US*

DECLARATION UNDER 37 C.F.R. 1.131 BY

GARY S. GRAY, JERRY CARSON, KASHI JAVAHERIAN, PAUL D. RENNERT

AND SANDRA SILVER

Sir:

We, Gary S. Gray, Jerry Carson, Kashi Javaherian, Paul D. Rennert And Sandra

Silver declare:

1. We are the inventors of the subject matter described and claimed in the above-referenced patent application.

10027075-132001

2. Prior to July 11, 1994, the invention described and claimed in the above-referenced application was completed in this country, as evidenced by the following:

- A. Prior to July 11, 1994, we were in possession of a cloned CTLA4Ig molecule which was producing large amounts of active protein in CHO DG44 cells.

Page A-1 of Appendix A describes amino acid modifications to the Ig portion of the CTLA4Ig molecule. Deletion of the CH<sub>2</sub> domain from  $\gamma$ 1 and mutations to amino acids 235 and 237 in  $\gamma$ 4 are described.

Page A-2 of Appendix A describes amplification of the mutated  $\gamma$ 4 Hinge-CH<sub>2</sub>-CH<sub>3</sub> region and the cloning of the mutated  $\gamma$ 4 into pNRDSH/hCTLA4 to replace the existing  $\gamma$ 1 Hinge-CH<sub>2</sub>-CH<sub>3</sub>.

Page A-3 of Appendix A describes a second method which involves the use of nested PCR to generate a mutated  $\gamma$ 1 (having an L to A substitution at amino acid 234, an L to E substitution at amino acid 235, and a G to A change at amino acid 237) from hCTLA4Ig and the cloning of the mutated  $\gamma$ 1 back into hCTLA4 pNRDSH.

Pages A-5 and A-6 show primers for use in making mutated forms of CTLA4Ig.

The notebook pages submitted as pages A-1 through A-7 of Appendix A demonstrate that we completed the claimed invention in this country prior to July 11, 1994.

- B. In addition, as shown on page A-8 of Appendix A, prior to July 11, 1994, we demonstrated that mutated forms of CTLA4Ig effectively competed with biotinylated CTLA4-Ig for binding to B7.

In the experiment presented at the bottom of the page B7Ig was put onto plates. Biotinylated CTLA4Ig was added to the plates in a fixed amount. Test forms of CTLA4Ig were added in serial dilutions to test for their ability to compete for binding to B7 on the plate. In this type of assay as the amount of an effective competitor is increased, the amount of biotinylated CTLA4Ig that binds decreases. This leads to a decrease in the optical density readout. Unlabelled CTLA4Ig (left row) was used as a positive control.

The data show that samples #2, #3, and #4 (in rows 2, 4, and 5, respectively) effectively competed with unmodified CTLA4Ig for binding to B7. As indicated in the table in the middle of the page, sample 2 was oncoCTLA4-my4; sample 3 was IgLCTLA4- $\gamma$ 1; and sample 4 was IgLCTLA4- $\gamma$ 1.

10027075-122001

These data demonstrate that the modified CTLA4Ig molecules were still able to bind to B7.

Each of the dates deleted from pages A-1 through A-8 of Appendix A are prior to July 11, 1994.

We have been warned that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 or the United States Code, and that such willful and false statements may jeopardize the validity of the subject application or any patent resulting therefrom, and declare that all statements made of our own knowledge are true and that all statements made on information and belief are believed to be true.

Date: \_\_\_\_\_ Signed: \_\_\_\_\_

Gary S. Gray

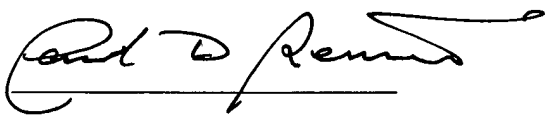
Date: \_\_\_\_\_ Signed: \_\_\_\_\_

Jerry Carson

Date: \_\_\_\_\_ Signed: \_\_\_\_\_

Kashi Javaherian

Date: 3 October 2001

Signed: 

Paul D. Rennert

Date: \_\_\_\_\_

Signed: \_\_\_\_\_

Sandra Silver

10027075-122001

HUMAN - CD44 IS

STATUS: This clone, which is currently producing large amounts of active protein in CHO D44, is being methotrexate amplified.

Recently focus has shifted to the Ig tail portion of this clone. Both  $F_c$  receptor and complement activation activities are determined by sequence in  $CH_2$  domain.

## REFS:

Confield + Morrison, 1991 J Exp Med (173) 4  
 Juno et al, 1991 J Immunol. (147)  
 TAO et al, 1991 J Exp Med (173) 102  
 Duncan + Winter, 1988 NATURE (332)

It would be useful to design a construct in which these Ig activities were eliminated.

This work will be exactly analogous to what was done last year for the antibody engineering project, where I deleted the  $CH_2$  domain from  $\delta_1$  and mutated residue 235 and 239 in  $\delta_4$ .

see p 115, Book 1139 and //

see p 52, Book 1177 and //

Read and understood by me

Date

*And M. Carr*

10027075-122001

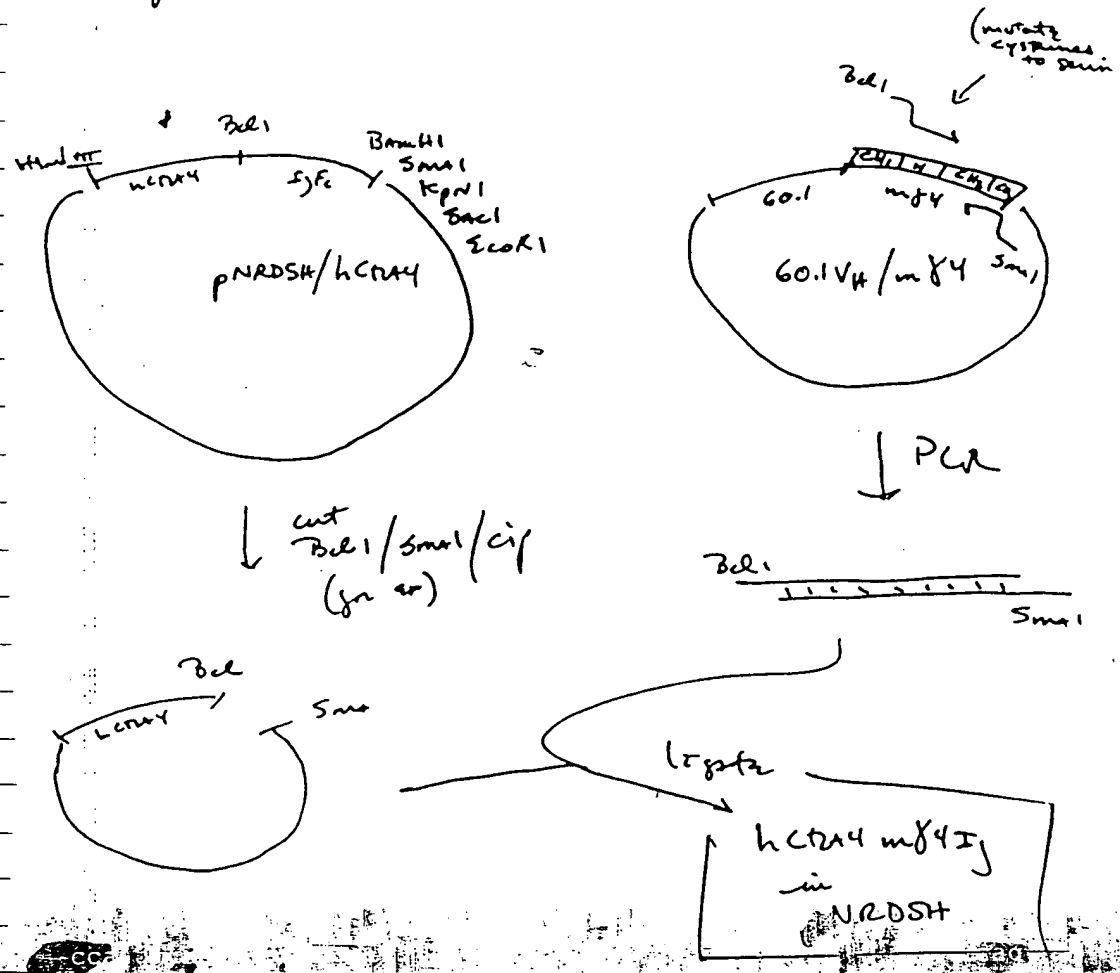
2 STRATEGIES will be USED:

hcr4, mutagenesis of I<sub>F</sub>E

possible strategies:

- ① PCR out the mutation  $\gamma$  H-CH<sub>2</sub>-CH<sub>3</sub> region from 60.1 V<sub>H</sub> and clone into pNRDSH/hcr4 in place of the existing  $\gamma$ , H-CH<sub>2</sub>-CH<sub>3</sub>

(Note that  $\gamma$  also lacks any ability to activate complement - S. Silver)



Read and understood by me

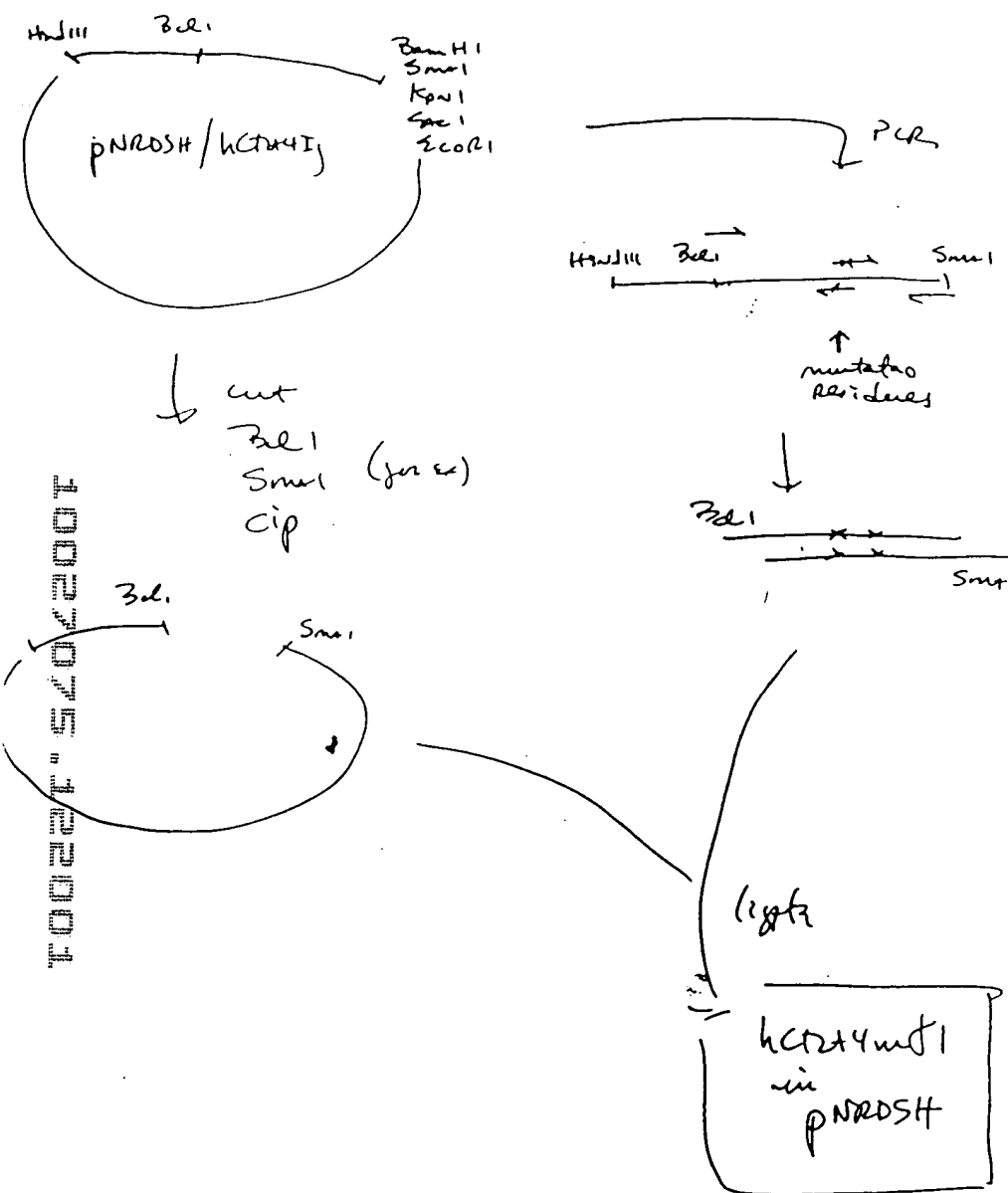
Date

*[Signature]*

2



USE NEB320 PCR to generate a mutated  $\delta 1$  from hcr24415. Clone the mfl back into hcr24415.  
pNRDSH:



For this clone mutate residues as follows:

234	L	→	A
235	L	→	E
236	G		
237	G	→	A

Read and understood by me

Date

*[Signature]*

\_\_\_\_\_



Engines that  
DO NOT CUT

Spec 1227 p<sup>3</sup>

K<sub>p</sub> = 1 (1.1-12)

Read and understood by me

Date \_\_\_\_\_

Paul R. Lowe

for 84:

**5' primer** - use G. Gatty's original idea to knock out the cysteines in the hinge (84 has two)

P   D (Q)   E   S   K   Y

Bcl I

5' GAG CAT TTT CCT GAT CAG GAG TCC AAA TAT

G   P   P   S   P   S   S   P

GGT CCC CCA TCC CCA TCA TCC CCA

(G) (K) (P) (T)

GGT AAG CCA ACCC

DOUBLE CHECK THAT PNRDSH LACKS THIS restriction site

1st use this

**3' primer**

if needed still have these → →

5' GCA GAG GAATTC GAG CTC GGT ACC C G G G G ATCC

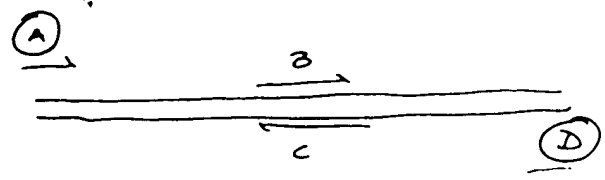
lock   R1   Sma I   Kpn I   Xma I   Bam H I

3' CAG TGT G G G G ACA G T G G G A CC C G C T C T G C C T C C C

Read and understood by me

*David R. Carr*

Fr 8/1



5' primer ✓

Ⓐ: use Gary Gray's original 8, primer:

PRIMER  
 5' GAG CAT TTT <sup>P</sup> <sup>D</sup> <sup>B</sup> <sup>B</sup> <sup>L</sup> <sup>A</sup> <sup>E</sup> <sup>P</sup> <sup>K</sup> <sup>S</sup> <sup>S</sup> <sup>D</sup> <sup>K</sup> <sup>T</sup>  
 CTC ACA <sup>S</sup> <sup>P</sup> <sup>C</sup> <sup>A</sup> <sup>D</sup> <sup>S</sup> <sup>P</sup> <sup>G</sup> <sup>K</sup> <sup>G</sup> <sup>G</sup> <sup>T</sup> <sup>A</sup> <sup>T</sup> <sup>T</sup> C — D<sub>2</sub>F<sub>2</sub> —  
 — \* — P<sub>antH</sub> - SmaI - KpnI - SmaI - EcoRI - ClaI - EcoRS - BglII —  
 — TT promoter

3' primer Ⓓ:

5' <sup>XbaI</sup> <sup>BamHI</sup> <sup>SmaI</sup> <sup>KpnI</sup> <sup>SmaI</sup> <sup>EcoRI</sup> 3'  
 5' G G A T C C C G G G T A C C G A G C T C G A A T T C  
 3' C C T A G G G G C C C A T G G C T C G A G C T T A A G 3'

PRIMER:

5' G C A G A G G A A T T C G A G C T C G G T A C C G G G G A T C C 3'  
 lock

10027075-122001

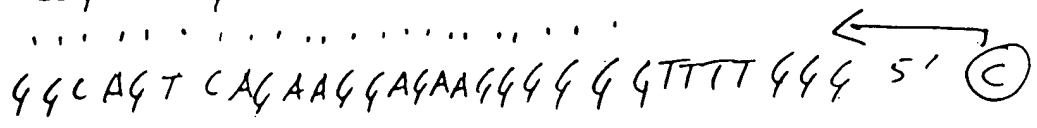
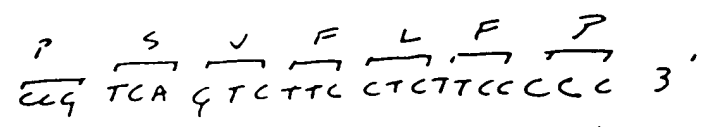
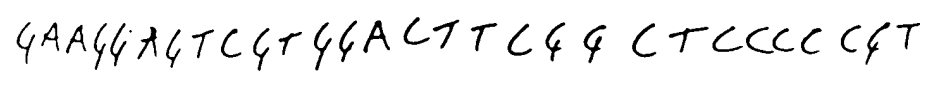
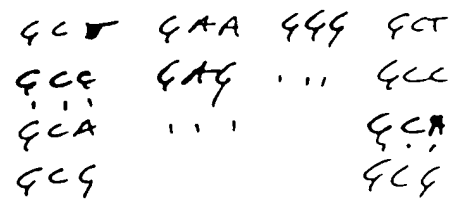
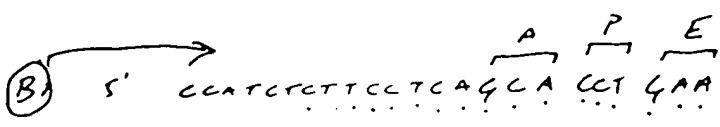
Read and understood by me

Date

*[Signature]*

B and C

L L G F P  
ATCCTG GGG GGA CCC



36nucleotide Request

DNA SYNTHESIS REQUEST FORM

REQUESTED BY Paul Rowland

PROJECT CHARGED B7 1GT

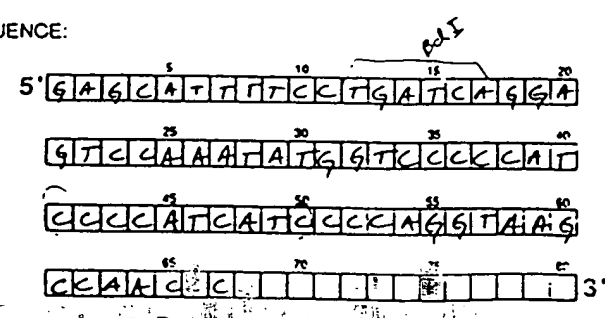
DATE REQUESTED \_\_\_\_\_

DATE REQUIRED (NO ASAP) \_\_\_\_\_

SEQUENCE NAME mu gamma 4 - 5'

LENGTH 67

SEQUENCE:



Rec'd and understood by me

Date

*[Signature]*

# Transient Expression of IgL heterodimers Ig 1/2

A-8

→ 3F

293 culture supernatant tested again a IgG1, IgG4  
ELISA using higher dilution.

Results:

DATE:

## 293 Transients

Sample Identification		ug/mL	ug/10 <sup>7</sup> cells	Dilutions
		IgG 1	IgG 4	1:10 → 1:2
IL2	CTLA4 <sup>(P2)</sup> -Y1 1	2.12	1.77	↓
IL2	CTLA4-m84 2	14.88	3.23	
IgG	CTLA4 <sup>(P2)</sup> -Y1 3	34.26	33.65	
IgG	CTLA4 <sup>(P2)</sup> -Y1 4	33.91	35.54	

⊕ Control

2.25 ug/L 156 ug/L

expected:

2 ug/L 125 ug/L

These samples were tested for their ability to compete for B7.1 in Assay run by Nancy Hosen.

10027075.122001

		IL2 samples				Optical Density				IL2 samples			
		#1	#2	#3	#4	#5	#6	#7	#8	#9	#10	#11	#12
20.5/2	A	0.119	0.404	0.078	0.066	0.063	0.134	0.128	0.150	0.253	0.458		
25	B	0.170	0.412	0.083	0.083	0.075	0.128	0.147	0.182	0.291	0.343		
12.5	C	0.209	0.349	0.096	0.090	0.076	0.153	0.192	0.173	0.323	0.318		
11.25	D	0.287	0.412	0.138	0.104	0.096	0.199	0.237	0.233	0.448	0.398		
5.6	E	0.342	0.450	0.157	0.144	0.122	0.260	0.288	0.282	0.445	0.381		
7.8	F	0.390	0.454	0.268	0.158	0.149	0.285	0.368	0.349	0.549	0.415		
8.9	G	0.384	0.504	0.279	0.198	0.183	0.369	0.462	0.425	0.392	0.408		
0	H	0.425	0.849	0.407	0.462	0.524	0.597	0.496	0.489	0.523	0.424		

IL2 samples  
10027075.122001

As before the IgL core is not functional. The two chains of IgL heterodimer do effectively compete CTLA4-Ig - 2.5 ug/L.

Plasmids are ready for transfection in 2 still new lines.

→ Samples titrated serially 1:2 - in 500 uL

→ All sample wells contain 500 of 700 ug/mL CTLA4 Ig

→ 43

Read and understood by me

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Gary S. Gray, Jerry Carson,  
Kashi Javaherian, Paul D. Rennert and Sandra Silver

Group Art Unit: 1642

Examiner: Helms, L.

*new* Serial No.: *Continuation of* 09/227,595

Filed: ~~January 8, 1999~~ *December 20, 2001*

For: CTLA4-Cy4 Fusion Proteins (as amended)

Attorney Docket No.: RPN-001

Assistant Commissioner for Patents  
Washington, D.C. 20231

*new* *under 1.10*  
Certificate of First Class Mailing (37 CFR 1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as *"Express Mail to Addressee"* first class mail in an envelope addressed to: ~~Assistant~~ Commissioner for Patents, *Box Patent Applicants,* Washington, D.C. 20231 on the date set forth below.

*December 20, 2001*  
Date of Signature and of Mail Deposit

By:

*Garry Taylor*  
~~Megan E. Williams~~ *Garry Taylor*  
~~Registration No. 43,270~~  
~~Attorney for Applicants~~ *new*

*Mailing Label No. EL 8333/5914 US*

DECLARATION UNDER 37 C.F.R. 1.131 BY

GARY S. GRAY, JERRY CARSON, KASHI JAVAHERIAN, PAUL D. RENNERT

AND SANDRA SILVER

Sir:

We, Gary S. Gray, Jerry Carson, Kashi Javaherian, Paul D. Rennert And Sandra Silver declare:

1. We are the inventors of the subject matter described and claimed in the above-referenced patent application.

10027075-122001

2. Prior to July 11, 1994, the invention described and claimed in the above-referenced application was completed in this country, as evidenced by the following:

- A. Prior to July 11, 1994, we were in possession of a cloned CTLA4Ig molecule which was producing large amounts of active protein in CHO DG44 cells.

Page A-1 of Appendix A describes amino acid modifications to the Ig portion of the CTLA4Ig molecule. Deletion of the CH<sub>2</sub> domain from  $\gamma$ 1 and mutations to amino acids 235 and 237 in  $\gamma$ 4 are described.

Page A-2 of Appendix A describes amplification of the mutated  $\gamma$ 4 Hinge-CH<sub>2</sub>-CH<sub>3</sub> region and the cloning of the mutated  $\gamma$ 4 into pNRDSH/hCTLA4 to replace the existing  $\gamma$ 1 Hinge-CH<sub>2</sub>-CH<sub>3</sub>.

Page A-3 of Appendix A describes a second method which involves the use of nested PCR to generate a mutated  $\gamma$ 1 (having an L to A substitution at amino acid 234, an L to E substitution at amino acid 235, and a G to A change at amino acid 237) from hCTLA4Ig and the cloning of the mutated  $\gamma$ 1 back into hCTLA4 pNRDSH.

Pages A-5 and A-6 show primers for use in making mutated forms of CTLA4Ig.

The notebook pages submitted as pages A-1 through A-7 of Appendix A demonstrate that we completed the claimed invention in this country prior to July 11, 1994.

- B. In addition, as shown on page A-8 of Appendix A, prior to July 11, 1994, we demonstrated that mutated forms of CTLA4Ig effectively competed with biotinylated CTLA4-Ig for binding to B7.

In the experiment presented at the bottom of the page B7Ig was put onto plates. Biotinylated CTLA4Ig was added to the plates in a fixed amount. Test forms of CTLA4Ig were added in serial dilutions to test for their ability to compete for binding to B7 on the plate. In this type of assay as the amount of an effective competitor is increased, the amount of biotinylated CTLA4Ig that binds decreases. This leads to a decrease in the optical density readout. Unlabelled CTLA4Ig (left row) was used as a positive control.

The data show that samples #2, #3, and #4 (in rows 2, 4, and 5, respectively) effectively competed with unmodified CTLA4Ig for binding to B7. As indicated in the table in the middle of the page, sample 2 was oncoCTLA4-my4; sample 3 was IgLCTLA4- $\gamma$ 1; and sample 4 was IgLCTLA4- $\gamma$ 1.

10027075-122001



These data demonstrate that the modified CTLA4Ig molecules were still able to bind to B7.

Each of the dates deleted from pages A-1 through A-8 of Appendix A are prior to July 11, 1994.

We have been warned that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 or the United States Code, and that such willful and false statements may jeopardize the validity of the subject application or any patent resulting therefrom, and declare that all statements made of our own knowledge are true and that all statements made on information and belief are believed to be true.

Date: \_\_\_\_\_ Signed: \_\_\_\_\_

Gary S. Gray

Date: \_\_\_\_\_ Signed: \_\_\_\_\_

Jerry Carson

Date: \_\_\_\_\_ Signed: \_\_\_\_\_

Kashi Javaherian

Date: \_\_\_\_\_ Signed: \_\_\_\_\_

Paul D. Rennert

Date: October 16, 2001 Signed: Sandra Silver

Sandra Silver

10027075-122001

HUMAN - CD44 - IS

STATUS: This clone, which is currently producing large amounts of active protein in CHO D44, is being methotrexate amplified.

Recently focus has shifted to the Ig tail portion of this clone. Both  $Fe$  receptor and complement activation activities are determined by sequence in  $CH_2$  domain.

REFS: Confield + Morrison, 1991 J Exp Med (173) 4  
 Juno et al, 1991 J Immunol. (147)  
 TAO et al, 1991 J Exp Med (173) 102  
 Duncan + Winter, 1988 Nature (332)

It would be useful to design a construct in which these Ig activities were eliminated.

This work will be exactly analogous to what was done last year for the antibody engineering project, where I deleted the  $CH_2$  domain from  $\delta_1$  and mutated residue 235 and 237 in  $\delta_4$

see p 115, Book 1139 and //

see p 52, Book 1177 and //

Read and understood by me

Date

*Scott M. Cam*

10027075-122001

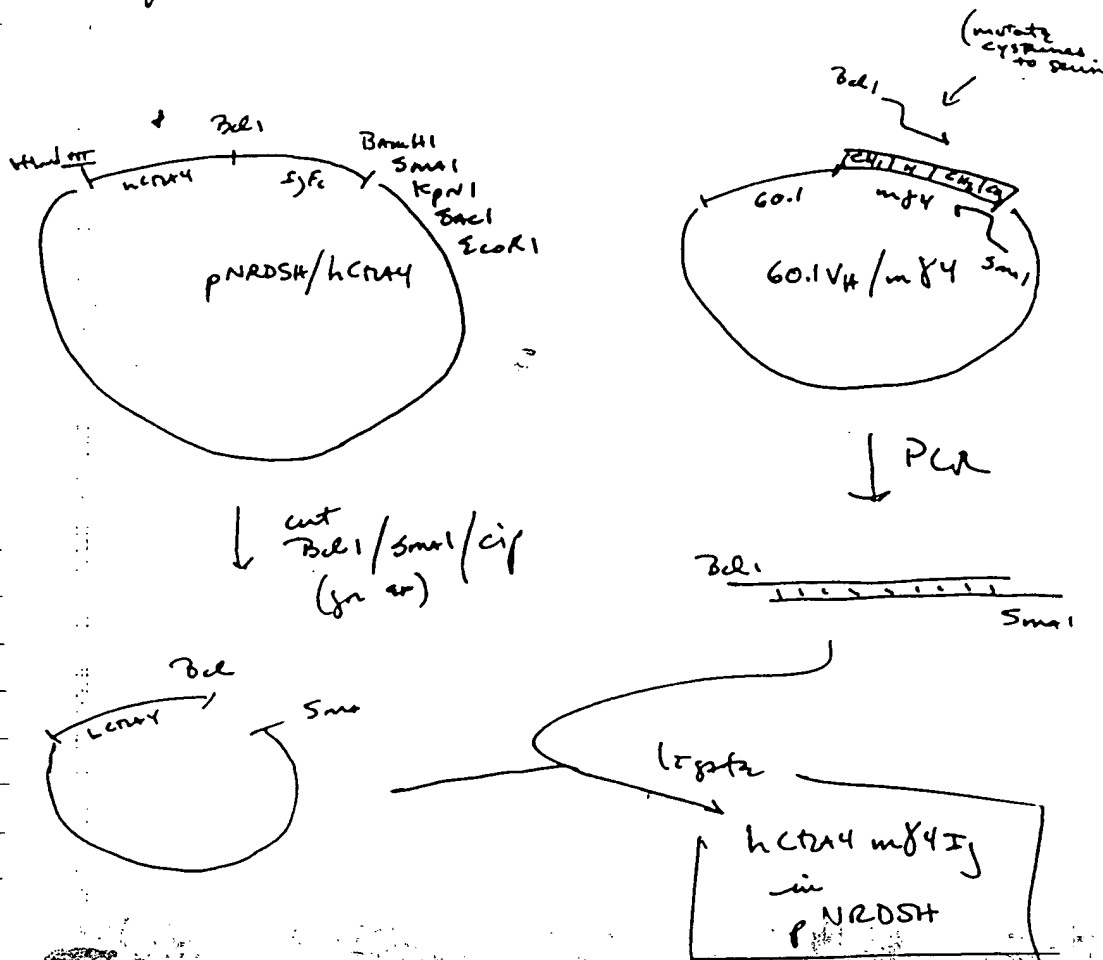
2 STRATEGIES will be USED:

hcr4, mutants of I<sub>2</sub>E

possible strategies:

- PCR out the mutant  $\gamma$  H-CH<sub>2</sub>-CH<sub>3</sub> region from 60.1 V<sub>H</sub> and clone into pNRDSH/hcr4 in place of the existing  $\gamma$  H-CH<sub>2</sub>-CH<sub>3</sub>

(Note that  $\gamma$  also lacks any ability to activate complement - S. Silver)



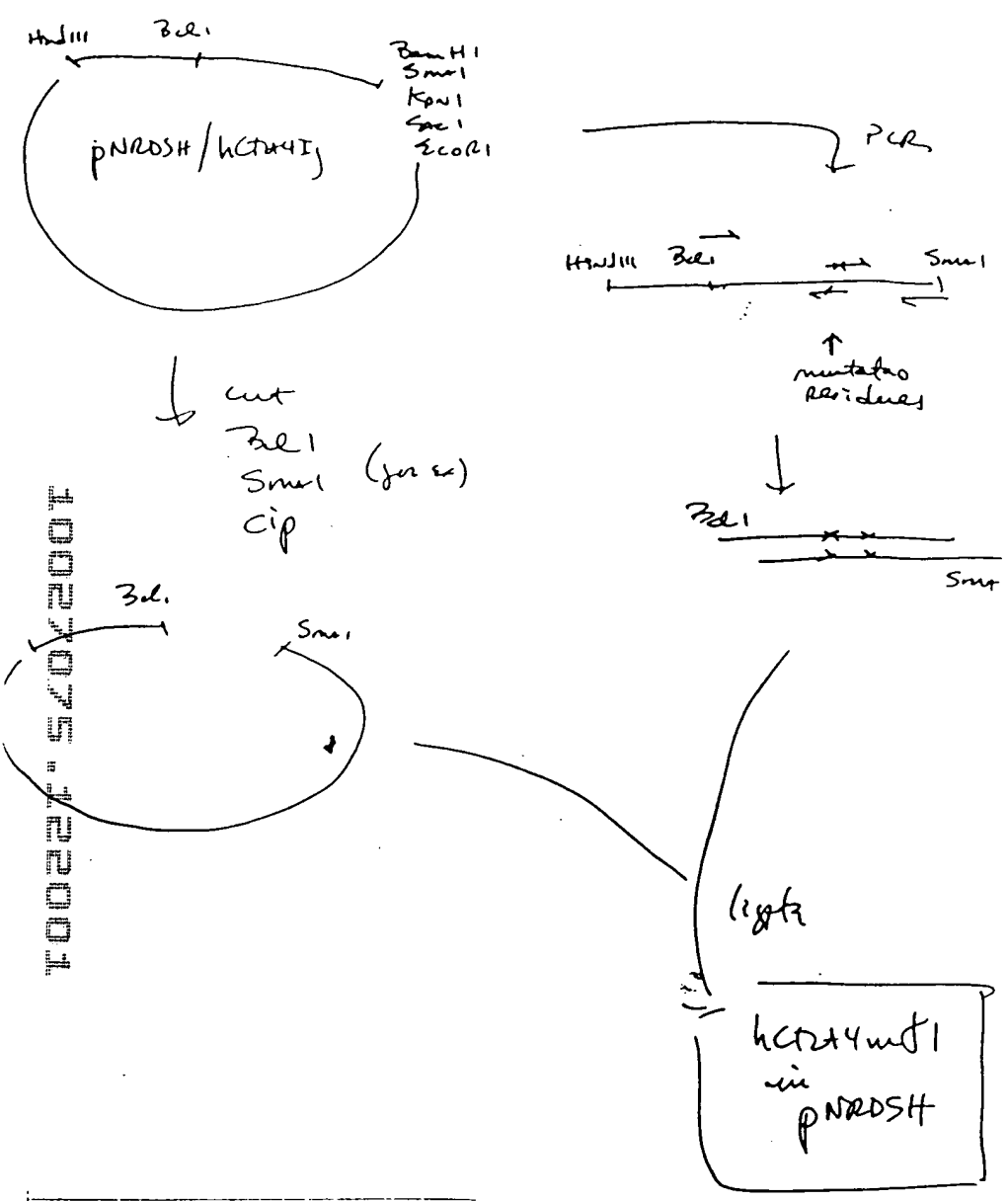
Read and understood by me

Date

*[Signature]*

2

USE NEB30 PCR TO generate a mutated  $\delta 1$  from hCTA4I<sub>5</sub>. Clone the mfl back into hCTA4I<sub>5</sub>.  
pNRDSH:



For this clone mutate residues as follows:

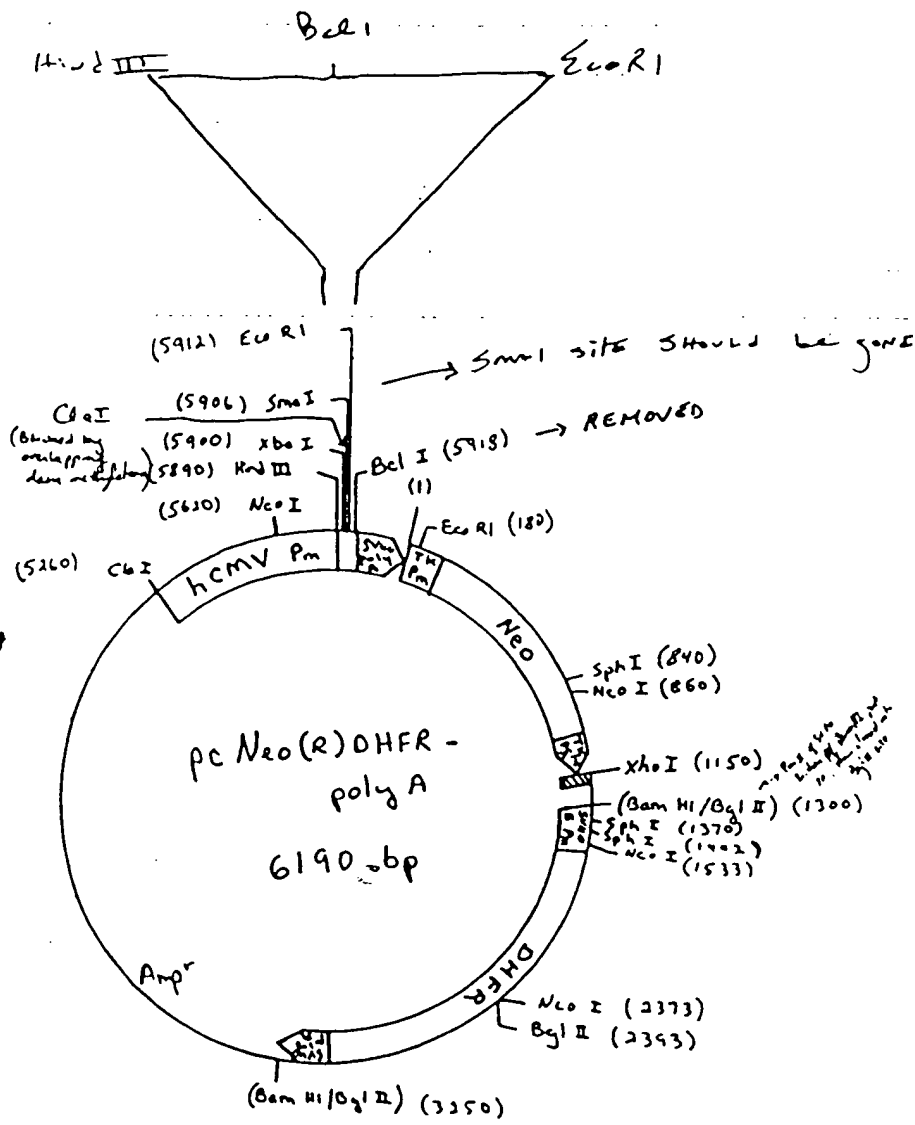
234	L	→	A
235	L	→	E
236	G		
237	G	→	A

Read and understood by me

Date

*[Signature]*

Vector:



preproinsulin poly A

Enzymes that DO NOT CUT

EcoRV 1227 r3  
Sph I 1227 r3  
Kpn I (1402)

5

Read and understood by me

*Shank R. Gnan*

Date

for 84:

'5' primer - use G. Garty's original idea to knock out the cysteines in the hinge (84 has two)

P D (Q)  
 BCL1 E S K Y  
 5' GAG CAT TTT CCT GAT CAG GAG TCC AAA TAT  
 G P P S P S S P  
 GGT CCC CCA TCC CCA TCA TCC CCA  
 (G) (K) (P) (T)  
 GGT AAG CCA ACCC

DOUBLE CHECK THAT

DOUBLE CHECK THAT  
PNRDSH LACKS THIS  
restriction site

1<sup>st</sup> use this

3' primer

if needed still have these  $\rightarrow -$

5' GCA GAG GAATTC GAG CTC GGT ACC C GGG GAT CC

lock

R1

5021

КрН

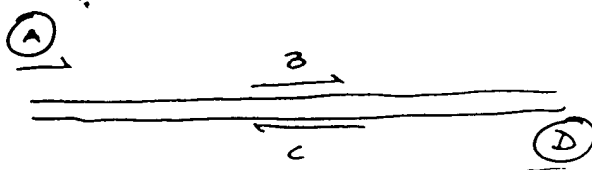
Xmas

Sam H

CAATCTGGGGACAGTGGGATCCCGCTCTGCCCTCC

Read and understood by me

Arthur R. Carter



5' primer ✓

①: use Gary Gray's original 5' primer:

PRIMER

5' GAG CAT TTT CTT GAT CAT GAG CCG AAA TET TET CAC AAA TET

CTC ACA TET CCA CCG TET CCA GGT ATT C — D<sub>2</sub>F<sub>2</sub> —

— \* — PstHI-SmaI-KpnI-SacI-EuRI-ChaI-EuRS-BglII —

— TT promoter

3' primer ②:

pSP72 MCS: 5' <sup>XbaI</sup> GGA TCCC <sup>SmaI</sup> GGA TACC <sup>KpnI</sup> GAG CTC <sup>SacI</sup> GAA TTC 3'

3' CCT AGGGG CCA TGG CTC GAG CTTAAG 5'

PRIMER:

5' GCA GAG GAA TTC GAG CTC GGA TCCC GGG GATCC

lock

Read and understood by me

Date

*[Signature]*

B and C

L L G F P  
CTCTG GGG GGA CCG

(B) 5' CCATCTCTTCTCTCAGCA CCT GAA

GCT GAA GGG GCT  
GCC GAG ... GCG  
GCA ... GCA  
GCG GCG

GAAGGATCTCTGGACTTCTGG CTCCCCCT

P S V F L F P  
CCG TCA GTCTTC CTCTTCCCC 3'

GGCAGT CAG AAG GAG AAGGG GGT TTT GGG 5' (C)

Oligonucleotide Requests

DNA SYNTHESIS REQUEST FORM

REQUESTED BY Paul Brown

PROJECT CHARGED B7 10T

DATE REQUESTED

DATE REQUIRED  
(NO ASAP)

SEQUENCE NAME mu gamma 4 - 5'

LENGTH 67

SEQUENCE:

5' G A G C A T T T C C T G A T C A G G A  
G T C C A A A T A T G G T C C C C A T  
C C C C A T C A T C C C A G G T A A G  
C C A A C C C C C C C C C C C C C C C C 3'

Read and understood by me

Date

*[Signature]*



# Transient Expression of IgL Heterodimers 1/5/12

A-8

→ 3F

293 culture supernatant tested again a IgG1, IgG4

Results:

DATE:

## 293 Transients

Sample Identification		ug/mL	ug/10 <sup>6</sup> cells	Dilutions
		IgG 1	IgG 4	1:10 → 1:2
ILL	CTL4 <sup>(+2)</sup> -Y1	2.12	1.77	
	CTL4-m84	14.88	3.23	
	IgL CTL4 <sup>(+2)</sup> -Y1	34.26	33.65	
	IgL CTL4 <sup>(+2)</sup> -Y1	33.91	35.54	

⊕ Controls

2.25 ug/L 156 ug/L

expected:

2 ug/L 125 ug/L

These samples were tested for their ability to compete for B7.2 in assay run by Nancy Graham.

10027075-122001

		IC sample				Optical Density				IC sample			
		#1	#2	#3	#4	#5	#6	#7	#8	#9	#10	#11	#12
20-7/12	A	0.119	0.404	0.078	0.066	0.063	0.134	0.128	0.150	0.253	0.458		
25	B	0.170	0.412	0.083	0.083	0.075	0.128	0.147	0.182	0.291	0.343		
62.5	C	0.209	0.349	0.096	0.090	0.076	0.153	0.192	0.173	0.323	0.318		
11.25	D	0.287	0.412	0.138	0.104	0.096	0.199	0.237	0.233	0.448	0.398		
5.6	E	0.342	0.450	0.157	0.144	0.122	0.260	0.288	0.282	0.445	0.381		
7.8	F	0.390	0.454	0.268	0.158	0.149	0.285	0.368	0.349	0.549	0.415		
8.9	G	0.384	0.504	0.279	0.198	0.183	0.369	0.462	0.425	0.392	0.408		
0	H	0.425	0.649	0.407	0.462	0.524	0.597	0.496	0.489	0.523	0.424		

IC sample  
signal  
m.m.m.)

As before the IgL core  
is not functional. The  
two chains of IgL core  
do effectively compete  
CTL4-Ig-2.5-ug/L.

Plasmids are ready  
for transfection in  
still N/A here.

→ Samples titrated serially 1:2 - in 500 uL

→ All sample wells contain 50% of 700 ug/ml CTL4 m.m.m.)

→ 43

Read and understood by me

Date